CURRICULUM VITAE

December, 2022

Name: Louis Putterman

Address:

Department of Economics, Brown University, Providence, R.I., 02912-9000; (401) 863-3837 (office phone); (401) 863-1970 (office fax); e-mail: Louis_Putterman@Brown.Edu; homepage: http://www.brown.edu/Departments/Economics/Faculty/Louis_Putterman/

Personal: Born April 27, 1952; divorced; children Laura (b. 1975), Patch (b. 1989), Mark (b. 1992).

Education:

Ph.D., Economics: Yale University, December, 1980. M. Phil., Economics: Yale University, May, 1978. M.A., International Relations: Yale University, May, 1978.

B.A., Economics (minor: Anthropology): Columbia University, April, 1976.

Positions held:

Professor of Economics, Brown University (from July, 1987); Associate Professor of Economics, Brown University (from July, 1982); Assistant Professor of Economics, Brown University (from July, 1980).

Department of Economics Associate Chair, 2005 – 2010 and 2015 – 18; Director of Undergraduate Studies, 2006 – 2013, 2022 – 23; Chair, 2014 – 15.

Advisor, Science of Philanthropy Initiative, University of Chicago, 2012 – 2016.

External reviewer of *Fifty Years of Asian Growth and Transformation*, The Asian Development Bank, 2018 and 2019.

Lead U.S. researcher, TrustLab project team (O.E.C.D.), 2017 - .

Associate Director, Center for the Comparative Study of Development, Brown University (1989-97); Acting Director, Spring 1990, Fall 1992, Spring 1997; Graduate Representative, Development Studies AM Degree Program, 1993-; Director, Development Studies Undergraduate Concentration and Development Studies Masters Program, 2000-01 and 2005-07; Director of Graduate Studies, Development Studies Masters Program, 2001-2011.

Faculty Associate, Watson Institute for International Studies, Brown University, 1996-2007.

Faculty Associate, Population Studies and Training Center, Brown University, 2017

Research Fellow, William Davidson Institute, University of Michigan Business School, 1997 - 2005.

Research Associate, John King Fairbank Center for East Asian Research, Harvard University, 1987-93; Visiting Scholar, Fairbank Center, 1986-87; Visiting Fellow, Centre for Chinese Studies, St. Antony's College, Oxford, Michaelmas Term, 1983; Visiting Fellow, Institution for Social and Policy Studies, Yale University, 1983-84.

Teaching Fields:

Experimental and Behavioral Economics; Economics of the Firm and Organization; Development Economics; Comparative Economic Systems; Economy of China.

Honors:

B.A., Summa Cum Laude, May 1976; elected to Phi Beta Kappa, April, 1976; Ph.D. dissertation awarded grade of distinction by Yale Economics Department, November 1980; M.A. *ad eundem*, Brown University, June 1983.

Fellowships and Grants:

Columbia University Fellowship, 1974-76; Yale University Fellowship, 1976-80; Yale Council on African Studies grants awarded 1976 and 1977; Yale Council on International Studies grant, 1976; Yale Concilium on International and Area Studies grant, 1977; Dorothy Danforth Compton Fellowship of the Institute for the Study of World Politics, 1979; Social Science Research Council (joint with A.C.L.S.) postdoctoral research grant, Africa committee, 1981; Fulbright Research Award, Africa Research Program, 1982-83; Lilly Teaching Fellowship, 1982-83; National Program for Advanced Study and Research in China, National Academy of Sciences (C.S.C.P.R.C.), 1982-83; Alfred P. Sloan Research Fellowship, 1983-85; Mellon Fellowship for Chinese Studies, 1983-84; Ford Foundation research grants, 1984 and 1985; C.S.C.P.R.C. National Program, 1985-86; National Science Foundation grant SES-8520380, 1986-8; Wang Institute Fellowship in Chinese Studies, 1986-87; Ford Foundation research grant, 1987; National Science Foundation grant SES 8721382, 1988-90; IRIS research grant, University of Maryland, 1992-3; Chiang Chingkuo/American Council of Learned Societies Fellowship in Chinese Studies, 1997; research grant, MacArthur Foundation Network on Norms and Preferences, 1999-2001; National Science Foundation grant SES-0001769, 2000-2002; Russell Sage Foundation grant to study the economics of trust, 2004-6; Alex C. Walker Foundation grant to study preferences regarding the distribution of income, 2004-6; Co-Principal Investigator w/ Pedro dal Bó and Andrew Foster, National Science Foundation grant SES-0720753, 2007-2009; Principal Investigator of National Science Foundation grant SES-0921733, 2009-2011; advisor, Science of Philanthropy Initiative, 2012 – 15; Principal Investigator of National Science Foundation grant SES-1426158, 2014 – 15.

Societies:

American Economic Association; Economic Science Association; Association for Comparative Economic Studies (Executive Board, 1996-8; Vice-President, 1999-2000; President, 2000-2001).

Service:

Member, Committee on Advanced Study and Research in China, C.S.C.P.R.C., National Academy of Sciences, 1986-1987; Member, Fellowship Selection Committee, A.C.L.S./S.S.R.C. Joint Committee on Chinese Studies, 1991-92; screener, 1998 SSRC/ACLS International Dissertation Field Research Fellowship competiton. Consultant, Science of Philanthropy Initiative, University of Chicago, 2012 – 14.

Member of Executive Board, Association for Comparative Economic Studies, 1997-1999; Vice-President, 1999-2000; President, 2000-2001.

Departmental service including recruiting committee member, undergraduate concentration and transfer credit adviser, Associate Chair from 2007 - 2010 and 2015 - 17, Director of Undergraduate Studies 2007 - 2013 and 2022 - 23, Department Chair 2014 - 15.

University service including membership on independent concentration sub-committee of E.P.C. (2 years), University Research Council (1 year), international relations concentration committee (5 years), development studies concentration committee (8 years), Executive Committee of Center for Comparative Study of Development (10 years), East Asian Studies Department member at large, faculty committee on student support programs (2 years), faculty committee on admissions and financial aid (3 years), President's ad hoc committee on financial aid (1992-3), Watson Institute Faculty Advisory Board (1993-), fellow of Wayland Collegium (11 years). Member of recruiting committee, World Hunger Program, 1994/95. Chair of recruiting committee for a Watson Institute Post-doctoral Fellowship, 1995/6. Member of Committee on Academic Standing, 1999/2000, 2001-3. Member of Advisory Committee on Corporate Responsibility in Investment, 2003-6 and 2007-10. Search Committee, Watson Institute director search, 2011 – 12.

Ph.D. students:

Committee chair for: Woosung Park, Si Joong Kim, Ana Chiacu, Lauren Auchincloss, Josie I Chen, Matthias Cinyabuguma, Arhan Ertan, Weiye Li, Umut Önes, Francisco Campos-Ortiz, Taisen He, Kenju Kamei (co-chair). Committee member for Motohiro Kumagai, Viacheslav Savitskiy, Patrick Meyer, Diego Ramos-Toro, Hui-wen Ng, Xu Zhang, Jeongbin Kim, Martin Fiszbein, Mongoljin Batsaikhan, Olivier Bochet, Areendam Chanda, Paul Deng (Brandeis University), Ruben Durante, Peter Iliev, Rokesh Kochar, Bingyuang Hsiung, Roland Pongou, Bulent Unel, Zhichao Wei, Ma Rong (Brown, sociology), Xiao-Yuan Dong (University of Alberta), Carol Carolus (Boston University), Jian Su (Brandeis University), Steven Lim (University of Adelaide).

Outside reviewer for:

American University, Arizona State University, Bar Ilan University, Ben-Gurion University, Brandeis University, Case Western Reserve University, Cornell University, Duke University, Hamilton College, Haverford College, Hong Kong University of Science and Technology, London Business School, London School of Economics, University of California at Davis, University of Cambridge, University of Connecticut, University of Gothenburg (Sweden), University of Massachusetts-

Amherst, University of Massachusetts-Lowell, University of Minnesota, State University of New York at Albany, S.U.N.Y. at Buffalo, University of Western Ontario, University of Wisconsin, Virginia Polytechnic Institute, Wesleyan University.

Editorial:

Associate Editor: *Pacific Economic Review* (Blackwell) 1996-2008; Editorial Advisory Council, 2009- .

Editorial Board Member: *Modern China*, 1990-; *Annals of Public and Cooperative Economics*, 1992-; *Journal of Comparative Economics*, 1989-1991 and 1997-1999; *Comparative Economic Studies*, 1991-1993 and 2013-; *Development and Society*, 1999-2009; *China Economic Review*, 2001-; *Journal of Participation and Employee Ownership*, 2017-; *Pacific Economic Review*, Assoc. Ed. 1996-2008, Advisory Council 2009-16.

Referee for:

American Economic Review, American Journal of Agricultural Economics, Annals of Public and Cooperative Economics, Cambridge Journal of Economics, Canadian Journal of Economics, China Economic Review, Comparative Economic Studies, Economica, Economic Development and Cultural Change, Economic Inquiry, Economic Journal, Economic Systems, Economics and Philosophy, Economics Letters, European Economic Review, Evolution and Human Behavior, Experimental Economics, Games and Economic Behavior, International Economic Review, Journal of Comparative Economics, Journal of Development Economics, Journal of Economic Behavior and Organization, Journal of Economic Education, Journal of Economic Growth, Journal of Economic History, Journal of Economic Inequality, Journal of the European Economic Association, Journal of Institutional and Theoretical Economics, Journal of Institutional Economics, Journal of the Japanese and International Economies, Journal of Political Economy, Journal of Public Economics, Journal of Socio-economics, Kyklos, Labor Economics, Land Economics, Metroeconomica, Modern China, Nature, Oxford Economic Papers, Pacific Affairs, Proceedings of the National Academy of Sciences, Proceedings of the Royal Society B (Biology), Quarterly Journal of Economics, Recherches Economiques de Louvain, Review of Economics and Statistics, Review of International Economics, Review of Politics, Scandinavian Journal of Economics, Science, Social Choice and Welfare, World Development, Advances in the Economic Analysis of Participatory and Labor- Managed Firms, Harvard University Council on East Asian Studies, MIT Press, University of California Press, University of Michigan Press, Oxford University Press, Stanford University Press; National Science Foundation (Economics), Committee on Scholarly Communication with China (previously CSCPRC), Social Sciences and Humanities Research Council of Canada, The World Bank.

Selected Conferences and Symposia:

Chair of organizing committee, Sixth Conference on Social Dilemma Research, held at Brown University, May 15 - 16, 2015.

Organizer, Symposium on Labor Markets and Gender in China, *Pacific Economic Review* (Vol. 7, no. 1, February 2002).

Co-organizer, Fain Conference on Risk-Bearing and Agency in Firms, Brown University, October 1998.

Director, Rockefeller African Development Dissertation Workshop, Watson Institute (Brown University), May 1997.

Co-organizer of Conference on Economics, Values and Organization, Yale University, April 1996, sponsored by Yale Program on Ethics, Politics & Economics, I.S.P.S., and the MacArthur Foundation.

Organizer, Symposium on Institutional Boundaries, Structural Change, and Economic Reform in China, U.C.L.A. Centre for Chinese Studies, October 1991, and Guest Editor of Symposium issues of *Modern China*, Volume 18, numbers 1 and 2, January and April 1992.

Work in Progress:

Economics experiments in collaboration with Jean-Robert Tyran (University of Vienna), Thomas Markussen (University of Copenhagen), Andreas Nicklisch (University of Hamburg), Christian Thöni (University of Lausanne), Kenju Kamei (Keio University), Arhan Ertan (Bogazici University), Rustam Romaniuc (Montpellier Business School). Research on time between agricultural transition, states and writing (with Jared Diamond and Alan Farahani, UCLA). Research on determinants of Chinese polity emergence from first eastern Asian agriculture, with James Kung, Omer Ozak and Shuang Shi. Collaborator in OECD TrustLab project directed by Fabrice Murtin (OECD/Scieces Po) with team members in France, Germany, U.K., Italy, Luxembourg, S. Korea, Japan and Slovenia.

Publications:

Books:

The Good, The Bad and The Economy: Does Human Nature Rule Out a Better World? Langdon Street Press, 2012. (A general audience book.)

Dollars and Change: Economics in Context. Yale University Press, 2001.

Economics, Values and Organization, edited and with a preface and introductory chapter by Avner Ben-Ner and Louis Putterman, Cambridge University Press, 1998.

The Economic Nature of the Firm: A Reader (Third Edition). Edited and with an introductory essay by Randall S. Kroszner and Louis Putterman, Cambridge University Press, 2009. Second Edition, edited by Louis Putterman and Randall S. Kroszner, Cambridge University Press, 1996. Original edition edited by Louis Putterman with the assistance of Randy Kroszner with introductory essay by Louis

Putterman, Cambridge University Press, 1986 (Spanish language edition published by Alianza Editorial, Madrid, 1994. Chinese language edition translated by Sun Jingwei published by Publishing House of Shanghai University of Finance and Economics, 2000; also issued in Chinese language edition by Cambridge University Press Asia Branch, 2009; China edition of Third Edition forthcoming in 2014.

Continuity and Change in China's Rural Development: Collective and Reform Eras in Perspective. Oxford University Press, July, 1993.

State and Market in Development: Synergy or Rivalry?, edited and with introductory and concluding chapters by Louis Putterman and Dietrich Rueschemeyer. Boulder, Colorado, Lynne Rienner Publishers, September, 1992.

Division of Labor and Welfare: An Introduction to Economic Systems. Oxford University Press, August, 1990.

Economics of Cooperation and the Labor-Managed Economy. Fundamentals of Pure and Applied Economics, Volume 14. London, Harwood Academic Publishers, March, 1987. With John P. Bonin.

Peasants, Collectives, and Choice: Economic Theory and Tanzania's Villages. June, 1986, JAI Press.

Papers in Peer-Reviewed Journals:

"Algorithmic Leviathan or Individual Choice: Choosing Sanction Regimes in the Face of Observational Error," with Thomas Markussen and Liagjun Wang, *Economica*, 90, 315 – 338, 2023.

"Trust, Beliefs and Cooperation: Excavating a Foundation of Strong Economies," with Jeongbin Kim and Xinyi Zhang, *European Economic Review* 147, 2022.

"Transition to agriculture and first state presence: a global analysis," with Oana Borcan and Ola Olsson, *Explorations in Economic History* 82, 2021.

"Trigger-happy or Precisionist? On Demand for Monitoring in Peer-Based Public Goods Provision," with Andreas Nicklisch and Christian Thöni, *Journal of Public Economics*, 200, 2021.

"Overconfidence and Gender Gaps in Redistributive Preferences: Cross-Country Experimental Evidence," with Thomas Buser, Gianluca Grimalda and Joël van der Weele, *Journal of Economic Behavior and Organization* 178: 267 – 286, 2020.

"Identity, Trust and Altruism: An Experiment on Preferences and Microfinance Lending," with Josie I Chen and Andrew Foster, *European Economic Review* 120, 2019.

"An Honest Day's Pay: Cooperation among Entrepreneurs vs. Students, and

- Linkages to Real World Business Success," with Mongoljin Batsaikhan, *Southern Economic Journal* 86 (2) 478 502, 2019.
- "Trust and Cooperation at a Confluence of Worlds: An Experiment in Xinjiang, China," with Zhe Zhang and Xu Zhang, *Journal of Economic Behavior and Organization* 161: 128 144, 2019.
- "Do China's People Favor Redistribution? Evidence from an Incentivized Experiment," with Tai-sen He and Liangjun Wang, *Pacific Economic Review* 24 (2): 293 324, 2019.
- "The Influence of Ancestral Lifeways on Individual Economic Outcomes in Sub-Saharan Africa," with Stelios Michalopoulos and David Weil, *Journal of the European Economic Association* 17 (4): 1186 1231, 2019.
- "When is Punishment Harmful to Cooperation? A Note on Antisocial and Perverse Punishment," with Tingting Fu, *Journal of the Economic Science Association* 4: 151 164, 2018.
- "Trust and Communication in a Property Rights Dilemma," with T.K. Ahn, Loukas Balafoutas, Mongoljin Batsaikhan, Francisco Campos-Ortiz and Matthias Sutter, *Journal of Economic Behavior and Organization* 149: 413 433, 2018.
- "State History and Economic Development: Evidence from Six Millennia," with Oana Borcan and Ola Olsson, *Journal of Economic Growth* 23: 1-40, 2018.
- "Reputation Transmission without Benefit to the Reporter: a Behavioral Underpinning of Markets in Experimental Focus," with Kenju Kamei, *Economic Inquiry* 56 (1): 158 172, 2018.
- "Learned Generosity? An Artefactual Field Experiment with Parents and their Children," with Avner Ben-Ner, John A. List and Anya Samek, *Journal of Economic Behavior and Organization* 143: 28 44, 2017.
- "Punishment Can Support Cooperation even When Punishable," with Tingting Fu, Yunan Ji and Kenju Kamei, *Economics Letters*.154: 84 87, 2017.
- "Play it Again: Partner Choice, Reputation Building and Learning in Restarting, Finitely-Repeated Dilemma Games," with Kenju Kamei, *The Economic Journal* 127 (602): 1069 1095, 2017.
- "Judicial Error and Cooperation," with Thomas Markussen and Jean-Robert Tyran, *European Economic Review*. 89: 372 388, 2016.
- "Security of Property as a Public Good: Institutions, Socio-Political Environment and Experimental Behavior in Five Countries," with Francisco Campos-Ortiz, T.K. Ahn, Loukas Balafoutas, Mongoljin Batsaikhan and Matthias Sutter, *Journal of Public Economics* 143: 115 124, 2016.

- "Who was Colonized and When: A Cross-Country Analysis of Determinants," with Arhan Ertan and Martin Fiszbein, *European Economic Review* 83: 165 184, 2016.
- "In Broad Daylight: Fuller Information and Higher-order Punishment Opportunities Can Promote Cooperation," with Kenju Kamei, *Journal of Economic Behavior and Organization* 120: 145 159, 2015.
- "State or Nature? Endogenous Formal vs. Informal Sanctions in the Voluntary Provision of Public Goods," with Kenju Kamei and Jean-Robert Tyran, *Experimental Economics* 18: 38 65, 2015.
- "Persistence of Fortune: Accounting for Population Movements, There was No Post- Columbian Reversal," with Areendam Chanda and C. Justin Cook, *American Economic Journal Macroeconomics*. 6 (3): 1 28, 2014.
- "Preferences for Redistribution and Perception of Fairness: An Experimental Study," with Ruben Durante and Joel Van Der Weele, *Journal of the European Economic Association* 12 (4): 1059 1086, 2014.
- "Self-Organization for Collective Action: An Experimental Study of Voting on Sanction Regimes," with Thomas Markussen and Jean-Robert Tyran, *Review of Economic Studies* 81: 301 324, 2014.
- "Voluntary Contributions with Redistribution: The Effect of Costly Sanctions when One Person's Punishment is Another's Reward," with Talbot Page and Bruno Garcia, *Journal of Economic Behavior and Organization* 95: 34 48, 2013.
- "Equality, Equity and Incentives: An Experiment," with Loukas Balafoutas, Martin Kocher and Matthias Sutter, *European Economic Review* 60: 32 51, 2013.
- "Institutions, Social Capability, and Economic Growth," *Economic Systems* 37: 345 353, 2013.
- "Public Goods and Voting on Formal Sanction Schemes: An Experiment," with Jean-Robert Tyran and Kenju Kamei, *Journal of Public Economics* 95 (9-10): 1213-1222, 2011.
- "Sub-Saharan Growth Surprises: Being Heterogeneous, Inland and Close to the Equator Does not Slow Growth within Africa," with Matthias Cinyabuguma, in *Journal of African Economies* 20 (2): 217 262, 2011.
- "Trust among the Avatars: A Virtual World Experiment, with and without Textual and Visual Cues," with Stephen Atlas, *Southern Economic Journal* 78 (1): 63 86, 2011.
- "Lavish Returns on Cheap Talk: Non-binding Communication in a Trust

- Experiment," with Avner Ben-Ner and Ting Ren, *Journal of Socio-Economics* 40 (1): 1-13, 2011.
- "Monitoring in Teams: A Model and Experiment on the Central Monitor Hypothesis," with Stefan Grosse and Bettina Rockenbach, *Journal of the European Economic Association* 9 (4): 785 816, 2011.
- "Post-1500 Population Flows and the Long Run Determinants of Economic Growth and Inequality," with David Weil, *Quarterly Journal of Economics* 125 (4): 1627-1682, 2010.
- "Institutions and Behavior: Experimental Evidence on the Effects of Democracy," with Pedro Dal Bó and Andrew Foster, *American Economic Review* 100 (5): 2205-29, 2010.
- "Who to Punish? Individual Decisions and Majority Rule in Mitigating the Free-Rider Problem" with Arhan Ertan and Talbot Page, *European Economic Review* 53: 495-511, 2009.
- "Not Just Babble: Opening the Black Box of Communication in a Voluntary Contribution Experiment," with Olivier Bochet, *European Economic Review* 53: 309-326, 2009.
- "Trust, Communication and Contracts: An Experiment," with Avner Ben-Ner, *Journal of Economic Behavior and Organization* 70 (1-2): 106-121, 2009.
- "Agriculture, Diffusion, and Development: Ripple Effects of the Neolithic Revolution," *Economica* 75: 729-48, 2008.
- "Reforming China's SOEs: An Overview," with Weiye Li, *Comparative Economic Studies* 50 (3): 353-80, 2008. Reprinted in Josef Brada, Paul Wachtel and Dennis Yang, eds., *China's Economic Development*. Palgrave Readers in Economics. New York: Palgrave MacMillan, 2014.
- "Social Capability, History and the Economies of Communist and Post-Communist States," with Peter Iliev, in *Studies in Comparative International Development* 42 (1): 36-66, 2007.
- "Early Starts, Reversals and Catch-up in the Process of Economic Development," with Areendam Chanda, *Scandinavian Journal of Economics* 109 (2), 387-413, 2007.
- "The Ecology of Collective Action: A Public Goods and Sanctions Experiment with Controlled Group Formation," with Umut Önes, *Journal of Economic Behavior and Organization* 62: 495-521, 2007.
- "Privatization and Firm Performance: A Comparison of Rural and Urban Enterprises in Jiangsu Province," with Xiao-Yuan Dong and Bulent Unel, *Journal of*

- Comparative Economics 34: 608-33, 2006.
- "Can Second-Order Punishment Deter Perverse Punishment?" with Matthias Cinyabuguma and Talbot Page, *Experimental Economics* 9: 265-79, 2006.
- "Communication and Punishment in Voluntary Contribution Experiments," with Olivier Bochet and Talbot Page, *Journal of Economic Behavior and Organization* 60: 11-26, 2006.
- "Do Non-strategic Sanctions Obey the Law of Demand? The Demand for Punishment in the Voluntary Contribution Mechanism," with Christopher M. Anderson, *Games and Economic Behavior* 54 (1): 1-24, 2006.
- "Voluntary Association in Public Goods Experiments: Reciprocity, Mimicry, and Efficiency," with Talbot Page and Bulent Unel, *Economic Journal* 115: 1032-53, 2005.
- "Cooperation Under the Threat of Expulsion in a Public Goods Experiment," with Matthias Cinyabuguma and Talbot Page, *Journal of Public Economics*. 89: 1421-35, 2005.
- "Share and Share Alike? Intelligence, Socialization, Personality, and Gender-Pairing as Determinants of Giving," with Avner Ben-Ner and Fanmin Kong; *Journal of Economic Psychology* 25: 581-9, 2004.
- "Reciprocity in a Two Part Dictator Game," with Avner Ben-Ner, Dan Magan and Fanmin Kong, *Journal of Economic Behavior and Organization*.53: 333-52, 2004.
- "Soft Budget Constraints, Social Burdens, and Labor Redundancy in China's State Industry," with Xiao-Yuan Dong, *Journal of Comparative Economics* 31 (1):110-33, 2003.
- "States and Markets: The Advantage of an Early Start," with Valerie Bockstette and Areendam Chanda, *Journal of Economic Growth* 7: 347-69, 2002.
- "Investigating the Rise of Labor Redundancy in China's State Industry," with Xiao-Yuan Dong, *China Economic Quarterly*, 1 (2): 397-418, January 2002 (in Chinese).
- "China's State-Owned Enterprises in the First Reform Decade: An Analysis of a Declining Monopsony," with Xiao-yuan Dong, *Economics of Planning* 35(2): 109-140, 2002.
- "On the Emergence of Labour Redundancy in China's State Industry: Findings from a 1980-1994 Data Panel," with Xiao-yuan Dong *Comparative Economic Studies* 43(2): 111-28, 2001.
- "Trusting and Trustworthiness," with Avner Ben-Ner, Boston University Law

- Review 81 (3): 523-551, 2001.
- "Why Capital Suppliers (Usually) Hire Workers: What we Know, and What we Need to Know," with Gregory Dow, *Journal of Economic Behavior and Organization* 43: 319-336, 2000.
- "China's SOE's: Their Role, Job Creation, and Efficiency in Long-Term Perspective," with Xiao-yuan Dong, *Modern China* 26: 403-47, 2000.
- "On Some Implications of Evolutionary Psychology for the Study of Preferences and Institutions," with Avner Ben-Ner, *Journal of Economic Behavior and Organization* 43: 91-99, 2000.
- "Pre-Reform Industry and State Monopsony in China," with Xiao-yuan Dong, *Journal of Comparative Economics* 28: 32-60, 2000.
- "Can an Evolutionary Approach to Development Predict Post-War Economic Growth?" *Journal of Development Studies* 36(3): 1-30, 2000.
- "Pre-Industrial and Post-War Economic Development: Is There a Link?" with John P. Burkett and Catherine Humblet, *Economic Development and Cultural Change*, 47 (3): 471-95, 1999.
- "Income Distribution, Government Transfers, ad the Problem of Unequal Influence" with William Bassett and John P. Burkett, *European Journal of Political Economy*, 15(2): 207-228, 1999.
- "Does egalitarianism have a future?" with John E. Roemer and Joaquim Silvestre, *Journal of Economic Literature* 36: 861-902, 1998.
- "On the Past and Future of China's Township and Village Owned Enterprises," *World Development* 25: 1639-55, 1997. (Chinese version, pp. 220-253 in Wen Hai, editor, *Chinese Township Village Enterprises: Nature, Experience and Reforms*. Beijing: China Industry and Commerce Association Press, 1997.)
- "Productivity and Organization in China's Rural Industries: An Efficiency Frontier Analysis," *Journal of Comparative Economics* 24: 181-201, 1997, with Xiao-yuan Dong.
- "China's Collectivization Puzzle: An Alternative Hypothesis," with James K. S. Kung. *Journal of Development Studies* 33: 741-63, 1997.
- "China's Rural Industry and Monopsony: An Exploration," *Pacific Economic Review* 1: 59-78, 1996, with Xiao-yuan Dong.
- "The Role of Property Rights and Ownership in China's Transition," *China Quarterly* No. 144: 1047-64, 1995; reprinted in Andrew Walder, ed., *China's Transitional Economy*, Oxford University Press, 1996, pp. 85-102.

"Social Capital and Development Capacity: The Example of Rural Tanzania," *Development Policy Review* 13: 5-22, 1995.

"Economic Reform and Smallholder Agriculture in Tanzania: A Discussion of Recent Market Liberalization, Road Rehabilitation, and Technology Dissemination Efforts," *World Development* 23: 311-26, 1995.

"Markets, Hierarchies, and Information: On a Paradox in the Economics of Organization," *Journal of Economic Behavior and Organization* 26: 373-90, 1995.

"Elasticities and Factor Weights for Agricultural Growth Accounting: A Look at the Data for China," *China Economic Review* 5: 191-204, 1994, with Ana F. Chiacu.

"Exit, Voice, and Portfolio Choice: Agency and Public Ownership," *Economics and Politics* 5: 205-18, 1993.

"Productivity Consequences of Alternative Land Division Methods in China's Decollectivization: An Econometric Analysis," *Journal of Development Economics* 42: 357-86, 1993, with Martin Gaynor.

"The Supply of Labour by Individuals to a Chinese Collective Farm: The Case of Dahe Commune," *Economica* 60: 381-96, 1993, with John P. Burkett.

"Incentives and Monitoring in Cooperatives with Labor- Proportionate Sharing Schemes," *Journal of Comparative Economics* 17: 663-686, 1993, with John P. Bonin. (Reprinted in D. Prychitko and J. Vanek, eds., *Producer Cooperatives and Labor-Managed Systems*. London: Edward Elgar, 1996.)

"Theoretical and Empirical Research on Producers' Cooperatives: Will Ever the Twain Meet?" *Journal of Economic Literature* 31: 1290-1320, 1993, with John P. Bonin and Derek C. Jones. (Reprinted in John Pencavel, ed., *The Economics of Worker Cooperatives*, Edward Elgar Publishing, 2013.)

"Ownership and the Nature of the Firm," *Journal of Comparative Economics* 17: 243-63, 1993. (Reprinted in John Pencavel, ed., *The Economics of Worker Cooperatives*, Edward Elgar Publishing, 2013.)

"The Role of Exit Costs in the Theory of Cooperative Teams," *Journal of Comparative Economics* 16: 596-618, 1992, with Gilbert L. Skillman.

"Dualism and Reform in China," *Economic Development and Cultural Change* 40: 467-93, 1992. (Chinese language version published in 1992 in Beijing; reprinted 2020 in Prema-Chandra Athukorala, ed., Asian Economic Devlopment, Edward Elgar Publishing.)

"Factors Behind the Supply and Demand for Less Alienating Work, and Some International Illustrations," *Journal of Economic Studies* 18 (1): 18-41, 1991, with Menachem Rosner. (Reprinted in *International Journal of Sociology and Social Policy* 11: 125-52, 1991, and in Geyer and Heinz, ed., *Alienation, Society and the Individual* [listed below].)

"Effort, Productivity, and Incentives in a 1970's Chinese People's Commune,"

Journal of Comparative Economics 14: 88-104, 1990.

"Pre- and Post-Reform Income Distribution in a Chinese Commune: The Case of Dahe Commune in Hebei Province," *Journal of Comparative Economics* 13: 406-445, 1989, with Bingyuang Hsiung.

"Entering the Post-Collective Era in North China: Dahe Township," *Modern China* 15: 275-320, 1989.

"Commodification of Labor Follows Commodification of the Firm: On a Theorem of the New Institutional Economics," *Annals of Public and Cooperative Economics* 60: 161-179, 1989.

"People's Republic of China: Systemic and Structural Change in a North China Township," *American Journal of Agricultural Economics* 70: 423-430, 1988.

"The Incentive Effects of Monitoring Under Alternative Compensation Schemes," *International Journal of Industrial Organization* 6: 109-119, 1988. With Gil Skillman, Jr.

"Group Farming and Work Incentives in Collective-Era China," *Modern China* 14: 419-450, 1988.

"The Firm as Association versus the Firm as Commodity: Efficiency, Rights, and Ownership," *Economics and Philosophy* 4: 243-266, 1988.

"Ration Subsidies and Incentives in the Pre-Reform Chinese Commune," *Economica* 55: 235-247, 1988.

"Corporate Governance, Risk-Bearing and Economic Power: A Comment on Recent Work by Oliver Williamson," *Journal of Institutional and Theoretical Economics* (*Zeitschrift fur die gesamte Staatswissenschaft*) 143: 422-434, 1987.

"The Incentive Problem and the Demise of Team Farming in China," *Journal of Development Economics* 26: 103-127, 1987.

"Extrinsic versus Intrinsic Problems of Agricultural Cooperation: Anti-Incentivism in Tanzania and China," *Journal of Development Studies* 21: 175-204, 1985.

"Choice and Efficiency in a Model of Democratic Semi-Collective Agriculture," *Oxford Economic Papers*. 37: 1-22, 1985. With Marie DiGiorgio.

"On Some Recent Explanations of Why Capital Hires Labor," *Economic Inquiry* 22: 171-187, 1984. Reprinted with abridgements, pp. 312-328 in Putterman, ed., *The Economic Nature of the Firm: A Reader*. New York: Cambridge University Press, 1986; reprinted in D. Prychitko and J. Vanek, eds., *Producer Cooperatives and Labor-Managed Systems*. London: Edward Elgar, 1996.)

"The Planned Cooperative Community in a Developing Country: The Case of Tanzania," *Journal of Rural Cooperation* XII (no.1/2): 53-64, 1984.

"Incentives and the Kibbutz: Toward an Economics of Communal Work

Motivation," Zeitschrift für Nationalokonomie, 43: 157-188, 1983.

"A Modified Collective Agriculture in Rural Growth-with-Equity: Reconsidering the Private, Unimodal Solution," *World Development*, 11: 77-100, 1983.

"Some Behavioral Perspectives on the Dominance of Hierarchical over Democratic Forms of Enterprise," *Journal of Economic Behavior and Organization*, 3: 139-160, 1982.

"Economic Motivation and the Transition to Collective Socialism: It's Application to Tanzania," *Journal of Modern African Studies*, 20: 263-285, 1982 (earlier version in *Taamuli*, publication of Dept. of Political Science, University of Dar es Salaam, vol. 9, Dec. 1979).

"Is a Democratic Collective Agriculture Possible? Theoretical Considerations and Evidence from Tanzania," *Journal of Development Economics*, 9: 375-403, 1981.

"Voluntary Collectivization: A Model of Producers' Institutional Choice," *Journal of Comparative Economics*, 4: 125-157, 1980.

"Population and Food Dynamics: A Caloric Measurement in Egypt," *International Journal of Middle East Studies*, 12: 81-100, 1980, with Manoucher Parvin.

Papers in Books:

"The Firm and Its Employees," Unit 6 in The Economy. The CORE Project, 2014, available at http://core-econ.org.

"History and Comparative Development," in Steven Durlauf and Lawrence Blume, eds., *New Palgrave Dictionary of Economics* 2014.

"When Punishment Supports Cooperation: Insights from Voluntary Contribution Experiments," pp. 17 – 33 in Paul Van Lange, Bettina Rockenbach and Toshio Yamagishi, eds., *Reward and Punishment in Social Dilemmas*. Oxford University Press, 2014.

"Attitudes toward the Progressivity of Taxes, Corporate Tax, and Estate Tax, according to the American National Election Study 2006 Pilot Study Responses," with Ruben Durante, pp. 342 – 360 in John Aldrich and Kathleen M. McGraw eds., pp. 342 – 360 in John Aldrich and Kathleen M. McGraw eds., *Improving Public Opinion Surveys: Interdisciplinary Innovation and the American National Election Studies*, Princeton: Princeton University Press., Princeton: Princeton University Press, 2011.

"Trusting, Trustworthiness, and Corporate Social Responsibility: Some Experiments and Implications," with Avner Ben-Ner, pp. 410 – 431 in Lorenzo Sacconi, Margaret Blair, Edward Freeman, and Alessandro Vercelli, eds., *Corporate Social Responsibility and Corporate Governance: The Contribution of Economic Theory*

- and Related Disciplines, a volume of the International Economic Association., Palgrave Macmillan, 2011.
- "Labor Managed Firms," in Steven Durlauf and Lawrence Blume, eds., *The New Palgrave: A Dictionary of Economics*, 2nd Edition. Palgrave Macmillan 2008.
- "Prices," pp. 455-7 in *International Encyclopedia of the Social Sciences*, 2nd Edition, William A. Darity, Editor-in-Chief. Detroit: Macmillan Reference, 2008.
- "The Role of the State and Markets in Development," in Amitava Dutt and Jaime Ros, eds, *International Handbook of Development Economics*, *Volume 2*. Edward Elgar, 2008.
- "China's Encounter with Market Socialism: Approaching Managed Capitalism by Indirect Means," pp. 47-65 in Janos Kornai and Yingyi Qian, eds., *Market and Socialism in Light of the Experiences of China and Vietnam*. Basingstoke, England: Palgrave Macmillan, 2008.
- "Economics and Interpersonal Relations: Ruling the Social Back In," pp. 262-269 in Benedetto Gui and Robert Sugden, eds., *Economics and Social Interaction: Accounting for Interpersonal Relations* Cambridge, Cambridge University Press, 2005.
- "State Effectiveness, Economic Growth, and the Age of States," w/ Areendam Chanda, pp. 69-91 in Matthew Lange and Dietrich Rueschemeyer, eds., *States and Development: Historical Antecedents of Stagnation and Advance* Basingstoke, England, Palgrave MacMillan, 2005.
- "Reciprocity, Altruism, and Cooperative Production," pp. 1409-35 in *Handbook on the Economics of Giving, Reciprocity and Altruism*, Vol. 2. Serge-Christophe Kolm and Jean Mercier Ythier, eds., Amsterdam: Elsevier North-Holland, 2006.
- "Inequality, Justice and Economics," pp. 423-65 in Yang Yao, ed., *Equity and Social Equality in Transitional China*, China People's University Press, Beijing, 2004 (in Chinese).
- "Trust in the New Economy," with Avner Ben-Ner, pp. 1067-1095 in Derek C. Jones, ed., *New Economy Handbook*. Academic Press, 2003.
- "Employee Participation in Ownership: An Empirical Exploration," with Avner Ben-Ner, W. Allen Burns and Greg Dow, pp. 194-233 in Margaret Blair and Thomas Kochan, eds., *The New Relationship: Human Capital in the American Corporation*. Washington: The Brookings Institution, 2000.
- "Why Capital (Usually) Hires Labor: An Assessment of Proposed Explanations," with Gregory Dow, pp. 17-57 in Margaret Blair and Mark Roe, eds., *Employees and Corporate Governance*. Washington: The Brookings Institution, 1999.

"Employment and Wages in Township, Village, and other Rural Enterprises," with Mark M. Pitt, pp. 197-215 in Gary Jefferson and Inderjit Singh, eds., *Enterprise Reform in China: Ownership, Transition, and Performance*. New York: Published for the World Bank by Oxford University Press, 1999.

"Values and Institutions in Economic Analysis," with Avner Ben-Ner, pp. 3-69 in *Economics, Values and Organization* (Cambridge University Press, 1998). Excerpt published in Spanish as "Valores e instituciones en el análisis económico," *CIRIEC-España, revista de economia pública, social y cooperativa*, Dec. 1999, pp. 43-77.

"The Economic Nature of the Firm: A New Introduction," with Randall S. Kroszner, pp. 1-31 in Putterman and Kroszner, eds., *The Economic Nature of the Firm: A Reader (2nd Edition*, 1996).

"Why Have the Rabble not Redistributed the Wealth? On the Stability of Democracy and Unequal Wealth," pp. 359-89 in J. E. Roemer, ed., *Property Relations, Incentives and Welfare*. London: McMillan, 1997.

"Incentive Problems Favoring Noncentralized Investment Fund Ownership," pp. 156-68 in P. Bardhan and J.E. Roemer, eds., *Market Socialism: The Current Debate*. New York: Oxford University Press, 1993.

"After the Employment Relation: Problems on the Road to Enterprise Democracy," pp. 129-47 in S. Bowles, H. Gintis, & B. Gustafsson, eds., *The Microfoundations of Political Economy: Problems of Participation, Democracy, and Efficiency*. Cambridge: Cambridge University Press, 1993.

"The State and the Market in Development," pp. 1-6, and "Synergy or Rivalry?," pp. 243-62, both with Dietrich Rueschemeyer; in Putterman and Rueschemeyer, eds., 1992.

"Factors Behind the Supply and Demand for Less Alienating Work," with Menachem Rosner, reprinted on pp. 125-52 in Felix Geyer and Walter Heinz, ed., *Alienation, Society and the Individual--Continuity and Change in Theory and Research*. New Brunswick, N.J.: Transaction Publishers, 1992.

"Industrial and Agricultural Investment Coordination Under 'Plan' and 'Market' in China," pp. 121-43 in Susan Barr and James Roumasset, eds., *The Economics of Cooperation: East Asian Development and the Case for Pro-Market Intervention*. Boulder, CO: Westview Press, 1992.

"Agricultural Producers' Cooperatives," pp. 319-339 in Pranab Bardhan, ed., *The Economic Theory of Agrarian Institutions*. Oxford: Clarendon Press, 1989, 1991.

"Tanzanian Rural Socialism and Statism Revisited: What Light from the Chinese Experience?" pp. 230-239 in Jeannette Hartmann, ed., *Re-Thinking the Arusha Declaration*. Copenhagen: Centre for Development Research, 1991.

"Asset Specificity, Governance, and the Employment Relation," pp. 45-62 in G. Dlugos, W. Dorow and K. Weiermair, eds., *Management Under Differing Labour Market and Employment Systems*. Berlin: Walter de Gruyter Co., 1988.

"The Economic Nature of the Firm: Overview," pp. 1-29 in Putterman, ed., *The Economic Nature of the Firm: A Reader*. New York: Cambridge University Press, 1986. Chinese translation published in *China: Development and Reform (Zhongguo: Fazhan yu Gaige)* 6, 1988.

"The Restoration of the Peasant Household as Farm Production Unit in China: Some Incentive Theoretic Analysis," pp. 63-82 in Elizabeth Perry and Christine Wong, eds., *The Political Economy of Reform in Post-Mao China*. Harvard University Press, 1985.

"On the Interdependence of Labor Supplies in Producers' Cooperatives of Given Membership," pp. 87-105 in *Advances in the Economic Analysis of Participatory and Labor-Managed Firms* Vol. I, 1985, Jai Press.

"Agricultural Cooperation and Village Democracy in Tanzania," pp. 473-493 in B. Wilpert and A. Sorge, eds., *International Yearbook of Organizational Democracy, Volume II.* 1984, John Wiley & Sons.

Shorter Papers and Comments:

"Cooperation and trust, supportable by our social natures, foster synergy of private, public and civic sectors," *Acta Oeconomica* 72 (Special issue in memory of Mario Nuti), pp. 41 - 49, 2022.

"Introduction to the Symposium on Research on Social Dilemmas," with Angela de Oliveira, Timothy Salmon, John Ledyard and Charles Plott, *Economic Inquiry* 58 (1): 9-11, 2020.

"Democratic, Accountable States are Impossible without 'Behavioral' Humans," in centennial issue of *Annals of Public and Cooperative Economics*, 89 (1): 251 - 258, 2018.

"A Caring Majority Secures the Future," News & Views, Nature, July 2014.

"Cooperation and Punishment," Science, 328: 578-9 (April 30) 2010.

"Transition Economy," pp. 594-596 in David Pong, Ed.-in-Chief, *Encyclopedia of Modern China*, Vol. 3, Detroit: Charles Scribner's Sons, 2009.

"Human Social Nature and the Economy: The Example of Trust," *Annals of Public and Cooperative Economics* 80 (1): 119-131, 2009.

"Prices," forthcoming in *International Encyclopedia of the Social Sciences*, 2nd *Edition*, William A. Darity, Editor-in-Chief. Macmillan Reference.

"Culture, Genes and Cooperation: Comment on Henrich," *Journal of Economic Behavior and Organization*, 53: 101-4, 2004.

"Redistribution, Risk-Aversion, and Culture," The Good Society, 9 (3) 16-21, 2000.

Preface to the Chinese language edition of *The Economic Nature of the Firm: A Reader*. To be published in Chinese in *Foreign Economy and Management*, 2000.

"Comment on T. Eggertsson, 'Limits to Institutional Reforms," with Avner Ben-Ner, *Scandinavian Journal of Economics*, 100: 359-63, 1998. Also excerpted as "Values, Institutions, and Economics," *The Good Society*, vol. 8, no. 2, pp. 14-16, 1998.

"Comments on 'A Future for Socialism'," *Politics and Society* 22: 489-505, 1994, reprinted as "Coupons, Agency and Social Betterment," pp. 139-58 (Ch. 8) in E. O. Wright, ed., *Equal Shares: Making Market Socialism Work* (London: Verso, 1996).

"Collectivization and China's Agricultural Crisis," *Journal of Comparative Economics* 17: 530-39, 1993, with Gilbert L. Skillman.

"Firm Theory," *The McGraw-Hill Encyclopedia of Economics, 2nd Edition*, 1994, pp. 420-422.

"Institutional Boundaries, Structural Change, and Economic Reform in China: An Introduction," *Modern China*, 18 (1): 3-13, 1992.

"Does Poor Supervisability Undermine Teamwork? Evidence from an Unexpected Source," *American Economic Review* 81 (4): 996-1001, 1991.

"Collectivization," in J. Krieger, ed., *The Oxford Companion to Politics of the World*, New York, Oxford University Press, 1993. Revised version, pp. 150-1 in 2nd edition, 2001.

"Amartya Sen," pp. 498-505 in P. Arestis and M. Sawyer, eds., *Biographical Dictionary of Dissenting Economists*, Edward Elgar Publishing, 1992.

"Village Communities, Cooperation, and Inequality in Tanzania: Comments on Collier *et al.*," *World Development* 18: 147-153, 1990.

Comments on Nicholas Lardy, "Recasting the Economic System: Structural Reform of Agriculture and Industry," pp. 120-123, and on Robert Dernberger, "The Drive for Economic Modernization and Growth: Performance and Trends" pp. 218-223 in M. Y.-M. Kau and S. H. Marsh, eds., *China in the Era of Deng Xiaoping: A Decade of Reform*. Armonk, New York: M.E. Sharpe, 1993.

"Marx and Disequilibrium: Comment," *Economics and Philosophy* 4: 333-336, 1988.

"Regulation by Consensus: The Practice of International Investment Agreements; Comment," *Journal of Institutional and Theoretical Economics* 144: 172-175, 1988.

"Tanzanian and African Socialism: Comment on Weaver and Kronemer," *World Development* 12: 461-464, 1984.

"A Note on the Relationship of Interdependent Action to the Optimality of Certain Voting Decisions," *Theory and Decision* 17: 257-265, 1984.

"On Optimality in Collective Institutional Choice," *Journal of Comparative Economics*, 5: 392-402, 1981.

"The Organization of Work: Comment," *Journal of Economic Behavior and Organization*, 2: 273-279, 1981.

Nonacademic or Semi-academic Publications, Interviews, Etc.

The Good, The Bad and The Economy blog, on-line at PsychologyToday.com beginning June, 2012. 22 postings in 2012; 10 postings in 2013; 5 postings in 2014; 6 postings in 2015; 3 postings in 2016; 49,000 cumulative views.

Op Ed opinion pieces published in *The Providence Journal* and *The Huffington Post*, 2012.

"Post-Columbian Population Movements and the Roots of World Inequality," and "Ancestors and Incomes: More on the Roots of World Inequality," with David Weil, in the European Union e-journal *Vox*, December, 2008.

"Today's Fast-growing Economies Showed Signs of Promise Long Ago," *Strategic Innovators* 2 (1): 8 – 13.

"The Quest for Development: What Role Does History Play?" *World Economics* vol. 5, number 2, April-June 2004, pp. 1-31, with Areendam Chanda.

"Values Matter," *World Economics*, vol. 1 number 1, January 2000, pp. 39-60, with Avner Ben-Ner.

"Some Responses to the Questions of the Kibbutz Colloquium," in Position Papers (Second Collection), Institute for Research and Study of the Kibbutz and the Cooperative Idea, University of Haifa, July 1999.

"The Market and Values," Il Mondo, July 24, 1998, with Avner Ben-Ner.

"On Economics and Values," Brown Economic Review, Spring 1997, v. 5, pp. 6-10.

"Property Rights In China: Shifting and Unbundling Ownership," *Economic Reform Today* No. 1, 1996, pp. 14-15.

Consultant for "China on the World Stage: Weighing the U.S. Response," a booklet in the Choices for the 21st Century Education Project, Watson Institute for International Studies, March 1995.

"China's 'Transition under Communism'," in *Brown Journal of World Affairs*, vol. 2, issue 1, Winter, 1994, pp. 103-112.

"Tanzanian Markets 'as Unpredictable as the Rains'," in *African Farmer* (quarterly publication of The Hunger Project), April, 1994, pp. 30-32.

"Soviet Communism and the Kibbutz--An Economic Perspective," transcription of a talk given at Harvard University Kibbutz Studies Project Conference, November, 1991, pp. 16-17 in *Israel Horizons* vol. 40, no. 1 (Winter 1992).

Data Sets:

World Migration Matrix, 1500-2000 (on Brown Economics Server, linked to my home page). Completed 2007, final revisions 2009. Version of matrix covering years 1500-1960 completed with C. Justin Cook and Areendam Chanda for our forthcoming *AEJ Macro* paper, 2012.

Agricultural Transition Year Country Data Set (on Brown Economics Server, linked to my home page). Completed 2006.

State Antiquity Index ("Statehist") Versions 2 and 3 (on Brown Economics Server, linked to my home page). Revised, 2004, 2009, 2012. New version including state history data to 3500 BCE, collaboratively developed with Oana Borcan and Ola Olsson, to be posted upon publication of our initial paper.

Hebei Province, Dahe Commune/Township: Data Sets and Codebook, edited by Louis Putterman, based on data collected by Steven Butler and Louis Putterman. March, 1989, Center for Chinese Studies Publications, The University of Michigan.

Book Reviews:

Why Nations Fail: The Origins of Power, Prosperity, and Poverty, by Daron Acemoglu and James Robinson, Economic Development and Cultural Change 61 (2): 470 – 472, 2013.

Microeconomics: Behavior, Institutions, and Evolution, by Samuel Bowles, *Journal of Economic Literature* 43: 135-6, 2005.

Labor Market Reform in China, by Xin Meng, Journal of Economic Literature 41: 255-6, 2003.

Economic Reform and State-Owned Enterprises in China, 1979-1987 by Donald Hay, Derek Morris, Guy Liu, and Shujie Yao, China Quarterly, no. 146: 634-6, 1996.

Whither Socialism? by Joseph Stiglitz, Journal of Comparative Economics 22: 329-32, 1996.

The Red and the Green: The Rise and Fall of Collectivized Agriculture in Marxist Regimes by Frederic L. Pryor, Journal of Economic Literature 31: 1486-8, 1993.

Food Insecurity and the Social Division of Labor in Tanzania, 1919-1985, by Deborah Fahy Bryceson, Journal of Development Economics 38 (2): 431-3, 1992.

The Firm as a Nexus of Treaties. Masahiko Aoki, Bo Gustafsson, and Oliver Williamson, eds. Journal of Economic Literature 24: 1202-4, 1991.

The Political Economy of Collective Farms: An Analysis of China's Post-Mao Rural Reforms by Peter Nolan, Journal of Comparative Economics 15: 176-8, 1991.

The New China: Comparative Economic Development in Mainland China, Taiwan and Hong Kong by Alvin Rabushka, Journal of Development Economics 32: 230-231, 1990.

Peasant Economics: Farm Households and Agrarian Development, by Frank Ellis. *Journal of Comparative Economics* 14: 140-141, 1990.

Free to Lose: An Introduction to Marxist Economic Philosophy by John E. Roemer. Journal of Economic Literature 27: 91-92, 1989.

China's Political Economy: The Quest for Development Since 1949 by Carl Riskin, Journal of Development Economics 28: 202-205, 1989.

An Analytical and Empirical Investigation of Agriculture in China, 1952-1980 by Anthony Tang, Journal of Development Economics 26: 423-425, 1987.

China: Long-Term Development Issues and Options. The report of a mission sent to China by the World Bank, in Journal of Development Studies 23: 424-426, 1987.

Organizations and Growth in Rural China by Marsh Marshall, Journal of Development Studies 23: 426-427, 1987.

The Economic Institutions of Capitalism: Firms, Markets, Relational Contracting by Oliver Williamson, Journal of Comparative Economics 11: 282-285, 1987.

Rural Economic Reform in China, Keith Griffin, editor, Journal of Development Studies 22: 630-631, 1986.

The Co-operative Game Theory of the Firm by Masahiko Aoki, in Journal of Comparative Economics, 10: 183-184, 1986.

Internal Labor Markets, Paul Osterman, editor, in Journal of Economic Behavior and Organization 6: 212-16, 1985.

Self-Management: Economic Theory and Yugoslav Practice, by Saul Estrin, in *Journal of Comparative Economics*, 9: 102-106, 1985.

Ideology and Development in Africa by Crawford Young, in *The Review of Politics*, 46: 598-600, 1984.

Workers and Incentives by Murat R. Sertel et al., in *Journal of Comparative Economics*, 8: 228-231, 1984.

Wealth and Poverty by George Gilder, in *Journal of Comparative Economics*, 6: 213-216, 1982.

Unpublished Manuscripts:

"Ethnic bias, economic success, and trust: findings from large sample experiments in Germany and the U.S.," with Sophie Cetre, Yann Algan, Gianluca Grimalda, Fabrice Murtin, Ulrich Schmidt and Vincent Siegerink, submitted to *JEEA*.

"Civic engagement as a second order public good: the cooperative underpinnings of the accountable state," with Kenju Kamei and Jean-Robert Tyran, under submission.

"Peer pressure in teams with group incentives," with Avner Ben-Ner and Yanmei Wang, under submission.

"Trust and its determinants: evidence from the TrustLab experiment," OECD Statistics Working Papers 2018/02, with Fabrice Murtin, Lara Fleischer, Vincent Siegerink, Arnstein Aassve, Yann Algan, Romina Boarini, Santiago Gozales, Zsuzsanna Lonti, Gianluca Grimalda, Rafael Hotala Vallve, Soonhee Kim, David Lee, and Conal Smith.

"Democracy and Collective Action," paper presented at World Interdisciplinary Network for Institutional Research conference, Boston, Sept. 2016.

"An Uninterpreted Spatial Version of the Trust Game: Evidence of Reciprocity without Suggestive Words, Evidence of Iterated Dominance Self-Taught," with Talbot Page, Brown University Department of Economics Working Paper 2012-1.

"Economic Growth, Social Capability, and Pre-Industrial Development," with Areendam Chanda, A report to the World Bank, October, 2000.

"Social Capability and Economic Growth," paper presented at the Middlebury Conference on Social Capital and Well-Being, April, 2000.

"Investigating the Rise of Labor Redundancy in China's State Industry," Working Paper No. 00-9, Dept. of Economics, Brown University, June 2000, with Xiao-Yuan Dong.

Putterman, Louis and Ambar Narayan, "Pre-Industrial Development and Modern Economic Growth: Evidence From Regional Data for China," unpublished, Brown University, January 1999.

Chanda, Areendam and Louis Putterman, 1998, "Schooling in Sub Saharan Africa: A Comparative and Inter-temporal Overview" Watson Institute for International Studies, Brown University.

"Human Capital, Social Capital, and Rural Economic Development in Tanzania," Annex VII of Tanzania: Peri-Urban Development in the African Mirror, Volume 2: Annexes. Confidential Report No. 19526 TA, June 1999, The World Bank.

"The Producer Behavior of Chinese Rural Households: The Cases of South Jiangsu and Sichuan in the Early1990s," with Jundong Song. First draft: Sept. 1996.

"Cooperatives and Nonprofits in Africa: Some Incentive and Agency Issues," Economic Development Institute, The World Bank.

"Inequality and Growth: A Comment on Persson and Tabellini," with John P. Burkett. Unpublished note, Sept. 1995.

"Market Reform and Tanzanian Agriculture: Successes and Failures in a Decade of Liberalization," first version March, 1994; IRIS Working Paper No. 102.

"Contradictions and Progress: the State, Agriculture, and "Third" Sectors in China's Economic Reform," paper prepared in 1994 for Yang Gan and Zhiyuan Cui, eds., *China: A Reformable Socialism*?.

"Why Capital Hires Labor: Comment," October 1993.

"Incentives and Monitoring in Cooperatives under Labor-Proportionate Sharing Schemes," Brown University Department of Economics Working Paper No. 92-16, September, 1992 (unabridged version of paper to published in *Journal of Comparative Economics*) with John P. Bonin.

"Effort and Exit Rights in Cooperative Teams: Theory and Application" (revised version of "Collectivization and China's Agricultural Crisis in 1959-1961: Comment"), July 1991.

"When Does an Exit Right Encourage Cooperation? An Example," with Gil Skillman, March 1991.

"Optimization and Constraints in the Post-Mao Rural Household Model: Simulation Results Based on a Hebei Township Household Survey," with Woosung Park.

February, 1990. Working Paper No. 91-13, Department of Economics, Brown University (revised February, 1991).

"Some Observations on the State Enterprise in China," paper presented at a joint session of the Association for Comparative Economic Studies and the North American Economics and Finance Association at the A.S.S.A. meetings, December 29, 1989.

"Reallocating Labor from Chinese Agriculture: The Intersection of Structural Change and Institutional Reform," paper presented at a joint session of the American Economic Association and the Chinese Economic Association of North America, Atlanta, December 29, 1989, with Calla Wiemer.

"Risk Preferences, Payment Schemes, and the Incentive Effects of Monitoring," Brown University Department of Economics Working Paper, August 1987, with Gil Skillman, Jr.

"Division of Labor and Welfare: A Conceptual Framework for the Comparative Study of Economic Systems," Working Paper No. 86-17, Department of Economics, Brown University, September, 1986.

"Collective Farming Choice, Organizational Factors, and Conditioning Variables: An Econometric Analysis," with Michele Siegel, November, 1985.

"Work Motivation and Monitoring in a Collective Farm," Brown University Department of Economics Working Paper No. 84-28, October 1984. Revised, December 1986.

"Monitoring and Incentives in Teams," paper presented at Cornell University conference on the economic analysis of profit-sharing, April, 1986, with Gil Skillman.

"A Model of the New Chinese Agricultural System," unpublished paper, 1984.

"Notes on the Possibilities for Agricultural Producers' Cooperatives in Zimbabwe," mimeo., Brown University, September 1982.

"Two Notes on the Economics of the Kibbutz," Working Paper No. 81-26, Department of Economics, Brown University, 1981.

"Peasant Motivation Toward Ujamaa Production: A Preliminary Report," Agricultural Education and Extension Working Paper 80.8, University of Dar es Salaam, Faculty of Agriculture, Morogoro, 1980.

Doctoral Dissertation:

"The Producers' Organizational Choice: Theory and the Case of the Tanzanian Villages," 1980. Committee: Sidney G. Winter (Chairman), J. Michael Montias, William J. Foltz.

Reviews of my work:

General

Ping Xinqiao, "Louis Putterman's Research Concerning the Reform of China's Rural Economy," *Information of Economic Literature* (Chinese Academy of Social Sciences Economics Institute) 1990, no. 8, pp. 2-11. (In Chinese)

A. Peasants, Collectives, and Choice

reviewed by Michael R. Carter in *Journal of Development Economics* 28 (February 1988): 134-137.

reviewed by Loren Brandt in *Journal of Economic Literature* XXVI (December 1988): 1786-1788.

reviewed by Michael Ellman in *Journal of Comparative Economics* 12 (December 1988): 622.

reviewed by Justin Yifu Lin in *Journal of Development Studies* 24 (July 1988): 232-234.

B. The Economic Nature of the Firm: A Reader

reviewed by Zou Gang in *Twenty-First Century* (Chinese, published in Hong Kong) December 1991: 73-75.

C. Economics of Cooperation and the Labor-Managed Economy

reviewed by Ekkehart Schlicht in *Journal of Institutional and Theoretical Economics* 146 (September 1990): 543.

D. Division of Labor and Welfare: An Introduction to Economic Systems

reviewed by John Bennett in *Journal of Comparative Economics* 16 (March 1992): 192-4.

E. State and Market in Development

reviewed by Kevin Leicht in Contemporary Sociology 22 (November, 1993): 833-4.

reviewed in survey article by Andre Drainville in *Revue Etudes Internationales* 24 (September, 1993): 685-97.

review article by Krishnamurthy Spiram in *Canadian Journal of Development Studies* 40 (1994): 277-83.

F. Continuity and Change in China's Rural Development

reviewed by E. Wayne Nafziger in *Choice: Current Reviews for Academic Libraries* March 1994.

reviewed by Marion E. Jones in *The Economic Journal* 104 (Sept. 1994): 1192-4.

reviewed by Alvin So in *Journal of the Developing Areas* 29 (Oct. 1994): 135-7.

reviewed by Loraine West in *Journal of Economic Literature* 33 (March 1995): 279-81.

reviewed by James Kaising Kung in *Journal of Comparative Economics* 22 (Sept. 1996): 320-328.

G. Economics, Values and Organization

reviewed by Keith Dolwing in London Times Higher Education Supplement 14/5/99

reviewed by Stefan Voigt in *Weltwirschaftliches Archiv* vol. 135 no. 2, 1999, pp. 358-360

reviewed by David Weimer in *Journal of Policy Analysi and Management*, vol. 18 no. 4, pp. 693-723.

reviewed by Jeffrey Miller in *Journal of Comparative Economics* vol. 27, 1999, pp. 564-566.

reviewed by Ian Montgomery, ianmontgomery.com/reviews/revieweconomicsvalues.html

reviewed by Peter Dobkin Hall for Arnova News, vol. 28, no. 1, spring 1999, p. 6,

reviewed by Elizabeth Anderson ("Beyond Homo Economicus: New Developments in Theories of Social Norms") in Philosophy & Public Affairs, v. 29, no. 2, pp. 170-200, 2000.

reviewed by Richard Holt in *Journal of Economic Behavior and Organization*, vol. 48, 2002, pp. 217-218.

reviewed by A. Piggins in Economic Journal, 112 (477): F142-144, Feb. 2002.

I. Dollars and Change: Economics in Context

reviewed by Gilbert Skillman in *Review of Radical Political Economy* vol. 37, no. 3 (summer 2005): 420-22.

reviewed by Frederic L. Pryor in *Journal of Economic History* 61 (Sept. 2001): 866-7.

Annotation by Anna J. Schwartz in Recommended Reading section, *The Key Reporter*, vol. 67, no. 4, p. 13.

Annotated listing in *Journal of Economic Literature*, vol. 40, no. 1, March 2002.

J. "Persistence of Fortune" featured in Jared Diamond, "Reversals of National Economic Fortune," *Proceedings of the National Academy of Sciences*, advance online version posted November, 2014.

Biography included in: Who's Who in America; Who's Who in American Education; International Dictionary of Biography; Who's Who in Economics 4th Edition (Edward Elgar).