CURRICULUM VITAE

1. Mary L. Fennell, Professor of Sociology and Health Services Policy and Practice, Brown University

2. Education

B.S., Sociology, University of Santa Clara, Summa Cum Laude
M.A., Sociology, Stanford University
Ph.D., Sociology, Stanford University
Dissertation topic: "The Structure of Hospital Clusters"
NIMH Post-doctoral Fellowship, Stanford University

3. Professional Appointments

1978-84	Assistant Professor, Department of Sociology, University of Illinois at Chicago
1984-87	Associate Professor, Department of Sociology, University of Illinois at Chicago Joint
	appointment to the School of Public Health
1985-87	Director of Graduate Studies, Department of Sociology, University of Illinois at Chicago
1986-87	Faculty Associate, Institute for Government and Public Affairs, University of Illinois
1987-89	Associate Professor, Department of Sociology, The Pennsylvania State University, and
	Senior Research Associate, Institute for Policy Research and Evaluation
1989-95	Professor, Department of Sociology, The Pennsylvania State University
1993-95	Faculty Associate, Population Research Institute, The Pennsylvania State University
1995-	Professor of Sociology and Community Health, Brown University:
	Senior Investigator, Center For Gerontology and Health Care Research
1997-99	Graduate Advisor, Department of Sociology, Brown University
1999-00	Associate Dean of the Faculty, Brown University
2000-04	Dean of the Faculty, Brown University
2005-08	Chair, Department of Sociology, Brown University
2012-14	Consultant, National Cancer Institute: Scientific and Technical Expertise for Manuscript
	Development and Coordination
2013-	Director, C.V.Starr Program in Business, Entrepreneurship and Organizations, Brown
	University

4. Completed Research, Scholarship and/or Creative Work

a. Books:

<u>The Diffusion of Medical Innovation: An Applied Network Analysis</u>, with Richard B. Warnecke.1988, Plenum Press. 271 pp.

<u>For the Record: Protecting Electronic Health Information</u>, with the Committee on Maintaining Privacy and Security in Health Care Applications of the National Information Infrastructure.1997, National Academy Press, Washington D.C., 288 pp.

<u>Professional Work: A Sociological Approach</u>, with Kevin Leicht. 2001, Blackwell Publishers, London and New York. 254 pp.

ai. Special Issues:

"Forty Years of Medical Sociology: The State of the Art and Directions for the Future," Special Issue of the Journal of Health and Social Behavior (1995): Co-edited with Bernice A. Pescosolido.

"Putting Consumers First in Long-term Care: Findings from the Cash & Counseling Demonstration and Evaluation," Special Issue of <u>Health Services Research</u> (February 2007): Co-edited with A.E. Benjamin. Vol.42, No. 1 Part II.

"Health Services Research and Global Health," Special Issue of <u>Health Services</u> <u>Research</u> (December, 2011): Co-edited with Elizabeth Bradley. Vol.46, No. 6 Part II.

"Mixed Methods in Healthcare Delivery Systems Research," Special Issue <u>of Health</u> <u>Services Research</u> (December 2013): Co-edited with Benjamin Crabtree and William Miller. Vol.48, No.6 Part II.

b. Chapters in Books:

"Network Demonstration Projects and Cancer Control: The Head and Neck Demonstration Networks," with Richard B. Warnecke and Penny Havlicek, in <u>Advances</u> <u>in Cancer Control</u>, Vol.3, Edited by Paul Engstrom and Paul Anderson, Alan B. Liss Publishers, 1983: 247-265.

"Organizational Environment and Network Structure," with Christopher O. Ross and Richard B. Warnecke, in <u>Research in the Sociology of Organizations</u>, Vol.5, 1987, edited by Samuel P. Bacharach and Nancy DiTomaso, JAI Press. pp. 311-340.

"Macro Issues of Accountability, Authority, and Decision-Making in Institutional Mergers." Pages 1-18 in <u>Mergers and Ventures: Creative Responses to Shifting</u> <u>Resources</u>, edited by M. Pettengill and L.A. Young, Midwest Alliance in Nursing Inc. 1987.

"Managed Care Systems as Governance Structures: A Transaction Cost Interpretation," with Robert E. Hurley. Chapter 9 (pp.241-268) in <u>Innovations in the Organization of</u> <u>Health Care: New Insights into Organization Theory</u>, 1990, edited by Stephen S. Mick. San Francisco: Jossey-Bass.

"Interorganizational Systems Approaches," with Kathleen Dockett. In <u>Homelessness</u> and <u>Mental Illness: Toward the Next Generation of Research Studies</u>, edited by J.P. Morrissey and D.L.Dennis, Proceedings of an NIMH sponsored conference, for the Office of Programs for the Homeless Mentally III, National Institute of Mental Health. January, 1990; pp.114-124.

"Managed Care in the United States: A Sociological Perspective on Change in the Medical Profession," Chapter in Trasformazione Dei Sistemi Sanitari e Sapere Sociologico, 2002, edited by Costantino Cipolla, Milano, Italy: Franco Angeli, pp.92-122.

"Institutionalism and the Professions," with Kevin T. Leicht. In <u>The Sage Handbook of</u> <u>Organizational Institutionalism</u>, edited by Roston Greenwood, Chrstine Oliver, Roy Suddaby, and Kerstin Sahlin, London: Sage Publications. 2008, pp. 431-448.

"Healthcare Organizations and the Stanford School of Organizational Sociology," with Ann B. Flood. Chapter in <u>Research in the Sociology of Organizations, Volume 28,</u> <u>Stanford's Organization Theory Renaissance, 1970-2000</u>, edited by Frank Dobbin and Claudia Bird Schoonhoven. 2010. MacMillan.

"Separate and Unequal Access and Quality of Care in Nursing Homes: Implications of the Research Program for Aging Hispanics and the Transformation of the Long Term Care Industry," with Zhanlian Feng, Vince Morr, Denise Tyler, David B. Smith, and Melissa Clark. In <u>Aging, Health and Longevity in the Mexican-Origin Population</u>. Edited by Jacqueline Angel, Fernando Torres-Gil, and Kyriakos Markides. 2012 Springer Publishers. Pp. 207-226.

"Profound Change in Medical Technologies: Time to Re-examine the Technology-Structure Nexus in Health Care?" with Steven B. Clauser and Miriam Plavin-Masterman. Edited by S. Mick and P. Shay 2014. <u>Advances in Health Care</u> <u>Organizational Theory</u> (2nd Edition) Jossey Bass. Pp.205-228.

c. Refereed Journal Articles:

"An Alternative Perspective to Sex Differences in Organizational Settings: The Process of Legitimation," with Patricia Barchas, Anne McMahon, Elizabeth Cohen and Polly Hildebrand, <u>Sex Roles: A Journal of Research</u>, Vol.4 (4), 1978: 589-604.

"The Effects of Environmental Characteristics on the Structure of Hospital Clusters," <u>Administrative Science Quarterly</u>, Vol.25 (3), September, 1980: 485-510.

"Problems in the Work-Setting, Drinking and Reasons for Drinking," with Miriam B. Rodin and Glenda K. Kantor, <u>Social Forces</u>, Vol.60 (1), September, 1981: 114-132.

"Context in Organizational Groups: The Case of Hospital Clusters." <u>Journal of Health</u> and Social Behavior, Vol.23 (1), March, 1982: 65-84.

"Structural Clarity of Interdisciplinary Teams: A Research Note," with Gary D. Sandefur. Journal of Applied Behavioral Science, Vol.19 (2), May, 1983: 193-202.

"Synergy, Influence and Information in the Adoption of Administrative Innovations," <u>Academy of Management Journal</u>, Vol.27 (1), March, 1984: 113-129.

"Patterns of Decision Making in Multihospital Systems," with Jeffrey A. Alexander. Journal of Health and Social Behavior, Vol. 27 (1), March, 1986: 014-27.

"Gender Differences in Roles Differentiation and Organizational Task Performance," with Henry A. Walker. <u>Annual Review of Sociology</u>, Vol.12, 1986: 255-275.

"Organizational Boundary Spanning in Institutionalized Environments," with Jeffrey A. Alexander. <u>Academy of Management Journal</u>, Vol. 30 (3), September 1987, pp.456-476.

"A Multivariate Model of Job Stress and Alcohol Consumption," with Michael M. Harris. <u>Sociological Quarterly</u>, Vol.29 (3), Fall, 1988: 391-406.

"Perceptions of an Employee Assistance Program and Willingness To Participate," with Michael M. Harris. Journal of Applied Behavioral Science, Vol.24 (4), 1988: 423-438.

"Governing Boards and Profound Organizational Change in Hospitals," with Jeffrey A. Alexander. <u>Medical Care Review</u>, Vol.46 (2), Summer, 1989: 157-187.

"Shelter Staff Satisfaction with Services, the Service Network and Their Jobs," with Russell K. Schutt. In <u>Current Research on Occupations and Professions</u>, 1992, Vol. 7: pp. 173-198. Greenwich, Connecticut: JAI Press.

"Multihospital System Affiliation as a Survival Strategy for Rural Hospitals Under PPS," with Michael T. Halpern and Jeffrey A. Alexander. <u>The Journal of Rural Health</u>, 1992, Vol.8 (2): 93-105.

"Perspectives on Organizational Change in the U.S. Medical Care Sector," with Jeffrey A. Alexander. <u>Annual Review of Sociology</u>, 1993, Volume 19:89-112.

"Leadership Instability in Hospitals: The Influence of Board-CEO Relations and Organizational Growth and Decline," with Jeffrey Alexander and Michael Halpern. <u>Administrative Science Quarterly</u>, 1993, Volume 38 (1): 74-99.

Reprinted in Governance, Directors and Boards, edited by Mahmoud Ezzamel, for Edward Elgar Publishing, LTD, London. 2005.

"The Effects of Hospital Characteristics and Radical Organizational Change on the Relative Standing of Health Care Professions: A Longitudinal Analysis," with Kevin Leicht and Kristine Witkowski. Journal of Health and Social Behavior, June 1995, Vol.36 (2): pp.151-167.

"Through the Lenses of Organizational Sociology: The Role of Organizational Theory and Research in Conceptualizing and Examining Our Health Care System," with Ann B. Flood. Journal of Health and Social Behavior, Extra Issue, August 1995, pp.154-169.

"Linkage Strategies of Rural Hospitals," with L.D.Gamm, C. Kassab, and S.D.Brannon. <u>Hospital and Health Services Administration</u>, Summer 1996, Vol.4 (2): pp.236-54.

"Gender, Interaction and Leadership", with Henry A. Walker, Barbara C. Ilardi, and Anne M. McMahon. <u>Social Psychological Quarterly</u>. 1996, Vol. 59
(3): pp. 255-72.

"The Changing Organizational Context of Professional Work", with Kevin T. Leicht, <u>The Annual Review of Sociology (1997</u>), Vol. 23, pp 215-231.

"Key Challenges in Studying Organizational Issues in the Delivery of Health Care to Older Americans," with Ann B. Flood. <u>Health Services Research (June, 1998)</u> Vol.33:2, Part II; 424-433.

"Facility Effects on Racial Differences in Nursing Home Quality of Care," with Susan Miller and Vince Mor. <u>American Journal of Medical Quality</u>. Vol. 15, No. 4: 174-181. Jul – Aug 2000.

"Community-Based Service Providers for People with Chronic Care Needs," with Susan Allen and Linda LaLiberte. 2001, <u>Research in Social Problems and Public Policy</u>, Vol.8, Elsevier Science, pp43-57.

"Dying Trajectory In the Last Years of Life: Does Cancer Trajectory Fit Other Diseases?" with Joan Teno, Sherry Weitzen and Vince Mor. 2001. Journal of Palliative Medicine, Vol.4, No.4. pp 457-464.

"Hospital Diversification into Long-Term Care," with Ann (Petrisek) Shah and Vince Mor. 2001. <u>Health Care Management Review</u>. pp. 86-100.

"Health Care Delivery Services," <u>International Encyclopedia of Social and Behavioral</u> <u>Sciences</u> IESBS. 2002. Pergamon, Oxford, UK: Vol.10. pp. 6515-6520.

- "Factors Associated with Site of Death," with Sherry Weitzen, Joan Teno, and Vince Mor. 2003. <u>Medical Care</u>, Vol.41, No.2, 323-335.
- "Linkages in the Rural Continuum: The Balanced Budget Act and Beyond," with Joseph Angelelli, Ray Hyatt, and Joyce McKenney. 2003, <u>The Gerontologist</u>, Vol.43, No.2, 54-60.
- "The Relationship of Membership in Research Networks to Compliance with Treatment Guidelines for Early Stage Breast Cancer," with Linda LaLiberte and George Papandonatas. <u>Medical Care</u>. May 2005: Vol.43, No.4: pp 471-79.
- "Financial Correlates and Consequence of Rural Hospital Long-Term Care Strategies," with Bruce Stuart, Susan Campbell, and R. Sun. <u>Health Care Management Review</u>, February 2006. Vol. 31 (2) pp 145-55.
- "Facility and County Effects on Racial Differences in Nursing Home Quality Indicators," with Susan Miller and George Papandonatos. <u>Social Science and Medicine</u>, 2006. Vol.63: 3046-3059.
- "The Regulatory Environment and Rural Hospital Long Term Care Strategies From 1997-2003," with Susan Campbell. <u>Journal of Rural Health</u>, Winter 2007. Vol. 23, No. 1: 1-9.
- "Separate and Unequal: Racial Segregation and Disparities in Quality Across U.S. Nursing Homes," with David Barton Smith, Zhanlian Feng, Jacqueline Zinn and Vincent Mor. <u>Health Affairs</u>, 2007. Vol.26, No.5: 1448-1458.

- "Who Staffs the US Leaning Tower? Organizational Change and Diversity," with Kevin T. Leicht. <u>Equal Opportunities International</u>, 2008. Vol.27, No. 1: 88-106.
- "Racial Disparities in Access to Long-Term Care: The Illusive Pursuit of Equity," with David Barton Smith, Zhanlian Feng, Jacqueline Zinn and Vincent Mor. Journal of Health Politics, Policy and Law, 2008. Vol. 33, No. 5: 861-881.
- "Home Health Agency Profit Orientation and Risk for Hospitalization: A Propensity Score Analysis of Population Weighted Data," with Laura Smith, Kate Lapane, Edward Miller, and Vince Mor. <u>Home Health Care Services Quarterly</u> 2008.
- "Improving Clinical Research and Cancer Care Delivery in Community Settings: Evaluating the NCI Community Cancer Centers Program," with Steve B. Clauser, Maureen R. Johnson, Donna M. O'Brien, Joy M. Beveridge, and Arnold D. Kaluzny. <u>Implementation Science</u> 2009. Vol.4, No.63: 1-11.
- "Elderly Hispanics More Likely to Reside in Poor-Quality Nursing Homes," with Zhanlian Feng, Melissa Clark and Vincent Mor. <u>Health Affairs</u> 2010, Vol.29, No.1: 65-73.
- "The Organization of Multidisciplinary Care Teams: Modeling Internal and External Influences on Cancer Care Quality," with Irene PrabhuDas, Steve Clauser, Nicholas Petrelli, and Andrew Salner. Journal of the National Cancer Institute Monographs, 2010, Number 40: 72-80.
- "Geographic Concentration and Correlates of Nursing Home Closures: 1999-2008," with Zhanlian Feng, Melissa Clark, Denise Tyler, David Barton Smith and Vince Mor. <u>Archives of Internal Medicine</u>. 2011, Vol.171 (9): 806-813.
- "US Healthcare Organizations: Complexity, Turbulence and Multilevel Change," with Crystal Adams. <u>Annual Review of Sociology</u>. 2011. Vol.37: 205-219.
- "Growth of Racial and Ethnic Minorities in US Nursing Homes Driven by Demographics And Possible Disparities in Care Options," with Zhanlian Feng, Denise Tyler, Melissa Clark, and Vincent Mor. <u>Health Affairs</u>. 2011. Vol.30 (7): 1358-65.
- "Despite Small Improvement, Black Nursing Home Residents Remain Less Likely Than Whites to Receive Flu Vaccine," with Shubing Cai, Zhanlian Feng, and Vincent Mor. <u>Health Affairs</u>. 2011. Vol. 30 (10): 1939-1946.
- "Understanding and Influencing Multilevel Factors Across the Cancer Care Continuum," with Stephen Taplin, Rebecca Anhang Price, Heather Edwards, Mary Foster, Erica Breslau, Veronica Chollette, Irene Prabhu-Das, Steven Clauser, and Jane Zapka. 2012: Journal of the National Cancer Institute Monograph #44. Pp.2-10.
- "Multilevel Approaches and the Challenges of Implementing Genomic Medicine," with Muin Khoury, Ralph Coates, Russell Glasgow, Maren Scheuner, Sheri Schully, Marc Williams and Steven Clauser. 2012: <u>Journal of the National Cancer Institute</u> <u>Monograph #44.</u> Pp.112-120

"Health Reforms as Examples of Multilevel Interventions in Cancer Care," with Ann Flood and Kelly Devers. 2012: <u>Journal of the National Cancer Institute Monograph #44.</u> Pp. 80-85.

d. Non-refereed Journal Articles:

Various "Editor's Statements/Commentary" in issues of the Journal of Health and Social Behavior, from 1990 through 1993.

"The Impact of Managed Care on Chronic Care Agency Providers," with Susan Allen and Linda Laliberte. <u>Medicine & Health Rhode Island</u> (1998) Vol.81:3, 87-91.

"Study Findings Suggest Segregation in Nursing Homes May Exist in Some Areas," <u>Research Activities</u>. AHRQ Publication. Number 242, October 2000.

Editorial Essay: "Racial Disparities in Care: Looking Beyond the Clinical Encounter," <u>Health Services Research</u>, (December 2005) Vol.40: 6:1713-1721.

Editorial Essay: A.E. Benjamin and M.L.Fennell. "Putting the Consumer First: An Introduction and Overview." <u>Health Services Research</u> (February 2007) Vol.42: No. 1: 535-361.

Editorial Essay: "The New Medical Technologies and the Organizations of Medical Science and Treatment," <u>Health Services Research</u> (February 2008) Vol. 43, No. 1: 1-9.

Editorial Essay: "Nursing Homes and Cancer Care," <u>Health Services Research</u> (December 2009) Vol. 44, No.6: 1927-1932.

Editorial Essay: "Nursing Home Termination, Nursing Home Closure, and Many Unanswered Questions," <u>Health Services Research</u> (December 2010) Vol. 45(6) Part 1: 1581-1584.

Editorial Essay: "Health Services Research and Global Health," with Elizabeth Bradley, Sarah Pallas, Peter Berman, Stephen Shortell, Leslie Curry. <u>Health Services Research</u> (December 2011) Vol. 46 (6) Part 2: 2019-2028.

Editorial Essay: "Integrating Mixed Methods in Health Services and Delivery System Research," with William L. Miller, Benjamin F. Crabtree, and Michael I. Harrison. <u>Health Services Research</u> (December 2013) Vol. 48, #6 Part 2, pp.2125-2133.

e. Book Reviews:

Review of <u>Doctors and Nurses</u> by T.K.Oommen. <u>American Journal of Sociology</u>, Vol. 86 (3), November, 1980: 693-5.

"Everything You Could Ever Possibly Want to Know About Organizational Design." Review essay of <u>Handbook of Organizational Design</u>, Vols.1 and 2, edited by Paul C. Nystrom and William H. Starbuck, 1981, for <u>Contemporary Sociology</u>, November, 1982: 632-633.

Review of <u>Coping with Uncertainty: Policy and Politics in the National Health Service</u> by David J. Hunter. <u>Social Forces</u>, Vol.60 (4), June, 1982: 1222-1224.

Review of <u>Health Care in the U.S.: Equitable for Whom?</u> by LuAnn Aday, Ronald Andersen and Gretchen Fleming, 1980. <u>American Journal of Sociology</u>, Vol.89 (4), January, 1984: 1010-1011.

Review of <u>HMO Development: Patterns & Prospects</u>, by Odin W. Anderson et al, 1985. <u>Contemporary Sociology</u>, Vol. 14 (6), November, 1985: 761.

Review of <u>Managerial Ideology and the Social Control of Deviance in Organizations</u>, by Richard M. Weiss, 1986. <u>Contemporary Sociology</u>, January 1988, pages 38-39.

Review of <u>Hospital Structure and Performance</u>, by Ann Barry Flood and W. Richard Scott, 1987. <u>Science</u>, Vol. 240, #4853, (May 6, 1988), p.817.

Review of <u>Organizational Structure</u>, <u>Problem Solving</u>, and <u>Effectiveness</u>, by Basil S. Georgopoulus, 1986. <u>Contemporary Sociology</u>, Vol.17 (4), July, 1988. p. 483-485.

Review of <u>Work and Integrity</u>, by William M. Sullivan. <u>The Review of Higher</u> <u>Education</u>, Vol.29: (2), Winter 2006. p.246-7.

Review of <u>The Business of Healthcare Innovation</u>, by L.R.Burns, ed. <u>Administrative</u> <u>Science Quarterly</u>, Vol. 51 (4) December 2006, p.650-51.

f. Abstracts: None

g. Invited Lectures:

Invited Speaker: "Hospital Clusters in Urban Communities," for the Organizations and Mental Health Training Program, February, 1983, Stanford University.

Invited Speaker: "Advances in Contingency Theory." A Symposium of the Organization Theory Division, Academy of Management Meetings, August, 1983, Dallas.

Invited Faculty Presenter: "Completing and Surviving a Dissertation," at the Health Care Administration Doctoral Consortium, Academy of Management Meetings, August, 1986, Chicago.

Keynote Address: "Macro Issues of Accountability, Authority, and Decision-Making in Institutional Mergers," at the Eighth Annual Fall Workshop of the Midwest Alliance in Nursing, September 10, 1987, Cincinnati, Ohio. Invited Presenter: "Interorganizational Systems Approaches," at the NIMH sponsored workshop on <u>Homelessness and Mental Illness: Towards the Next Generation of</u> <u>Research Studies</u>," Bethesda, MD, February 21-22, 1989.

Invited Lecture: "Institutional Anchors in the Continuum of Care for the Rural Elderly," Gerontology Center, College of Health and Human Development, The Pennsylvania State University, January 1991.

Invited Consortium Faculty Presenter: "The Journal Editor's Perspective on Careers in Health Services Research," For the Academy of Management Health Care Administration Division Doctoral and Junior Faculty Consortium, August 9-10, 1992, Las Vegas, Nevada, and August 10-11, 1991, Miami, Florida.

Invited Speaker: "Governing Boards and Profound Organizational Change," Presented at the Medical College of Virginia, Department of Health Administration, February 19, 1992.

Invited Speaker: "Towards a Model of Cross-Sector Linkages in Health Care: Organizational Change in Hospitals and Long Term Care Facilities," Presented in the Richard Carl Jelinek Seminars on Health Management Issues in Health Care, The University of Michigan, April 2, 1992.

Lead Speaker: "Health Services Research: An Agenda Concerning Elder Services in Rural Areas," Center on Aging and Health in Rural America Long Range Research Agenda Workshop, May 21-22, 1992, Pennsylvania State University.

Invited Discussant: "Poverty and Public Policy: What Do We Know? What Should We Know?" Conference sponsored by the Institute for Research on Poverty and the U.S.Department of Health and Human Services, University of Wisconsin - Madison, May 28-30, 1992.

Invited Panel Member: Didactic Seminar on "Grantwriting: Obtaining Research Support from Federal Government Agencies," at the American Sociological Association Annual Meeting, August 20, 1992, Pittsburgh, Pa.

Invited Summary Speaker: "Implications for Research," presented at the National Invitational Conference on Strategic Alliances in Health Care, November 1993, Chapel Hill, North Carolina.

Invited Lecture: "Organizational Change and Professional Careers," presented to the Stanford Center on Organizational Research, December 8, 1993, Stanford University.

Invited Lecture: "Rural Hospital Linkages to Long Term Care Providers," presented to the Brown University Center for Gerontology and Health Services Research, and the Department of Sociology, March 8, 1994.

Invited Lecture: "Gender Stratification in Professional Organizations: Changes in Organizational Structure and Career Paths," presented to the Brown University Department of Sociology, January 1995.

Invited Speaker: "Health Coalitions in Appalachia: Implementing and Evaluating Community-Based Cancer-Control," presented to the University of Texas at Austin Population Research Center and Department of Sociology, February 23, 1995.

Invited Lecture: "Rural Hospital Linkages to Long Term Care Providers," presented to the University of Texas at Austin School of Business, February 22, 1995, and to the Department of Sociology, University of Illinois at Chicago, November 14, 1996.

Invited Lecture: "Developing Health Coalitions in Rural Appalachia," presented to the University of Pennsylvania Department of Sociology, October 25, 1995, and the Center for Evaluative Clinical Sciences, Dartmouth Medical School, April, 1996.

Invited Panel Member: "Health Policy Issues and the Contract with America," presented at the 91st Annual Meetings of the American Sociological Association, August 18, 1996, New York.

Invited Thematic Session Organizer: "Social Change in Health Care: Corporations and the Workplace," 91st Annual Meetings of the American Sociological Association, August 17, 1996, New York.

Invited Speaker: "Methodological Issues in the Study of Primary Care to Older Americans," with Ann B. Flood, presented at the Conference on Aging and Primary Care: Organizational Issues in the Delivery of Health Care to Older Americans, AHCPR/NIA, March 1996, Washington, DC.

Invited Speaker: "The Changing Organizational Context of Professional Work," for the Department of Sociology Colloquium Series, Brown University, December 2, 1997.

Invited Speaker: "Privacy and Health Care: An Organizational Perspective," for the Brown Humanities Institute, December 9, 1997.

Invited Faculty Presenter: "The Craft of Research: Getting from Idea to Implementation," for the Junior Faculty Consortium of the Health Administration Division of the Academy of Management Meetings, San Diego, CA: August 1998, and again at the 1999 Meetings, Chicago, Illinois.

Invited Panel Member: "The Future of Hospice Under Managed Care," for the Brown University/National Hospital Organization special exhibit on "Hospice at the Crossroads," November 1998.

Invited Speaker: "Rural Hospitals, Swing Beds and Long Term Care: Strategies of Linkage, Diversification, or Avoidance," for the Cecil Sheps Center for Health Services Research, University of North Carolina at Chapel Hill, February 22, 1999.

Invited Speaker: "Hospital Restructuring." Greater Rhode Island Industrial Relations Research Association, Warwick, RI, October 1999.

Invited Speaker: "Managed Care in the United States: A Sociological Perspective on Change in the Medical Profession," at the International Conference on Sociological Knowledge and Professions in Changing Health Care Systems, Bologna, Italy, October 2000.

Invited Speaker: "Post-Acute and Long-Term Care Strategies Among Rural Hospitals: The Balanced Budget Act and Beyond," for the Robert Wood Johnson Health Policy Seminar at Yale University, December 4, 2000.

"Rural Hospital – LTC Partnerships: Evolving Through Time," with Joseph Angelelli, presented to the Challenges in Nursing Home Care Conference, sponsored by the Pepper Center, Florida State University, the National Institute on Aging, and The Robert Wood Johnson Foundation, March 20, 2002.

Invited Speaker: "Value of Participating in the Survey of New Scholars: The Brown Context," panel presentation on New Faculty Perceptions of Evaluation, Assessment, and Institutional Effectiveness, at the 118th Annual Meetings of the New England Association of Schools and Colleges, December 3, 2003.

Invited Speaker: "Rural Hospital Linkages to Long-Term Care and Rehospitalization Rates," presented with Joseph Angelelli, to the Division of Health Services Research and Policy, School of Public Health, University of Minnesota, April 11, 2003.

Invited Panel Member: "Strengthening Program Review: What We Can Learn from Quality Assurance Internationally," presented at the 119th Annual Meetings of the New England Association of Schools and Colleges, December 1, 2004.

Invited Discussant: "Comments on 'Innovation as Routine: Reconciling the Ecology and Adaptation Perspectives on Change' by J.Zinn and V. Mor. Seventh Annual Health Care Organizations Conferences, June 2, 2005, Richmond, VA.

Invited Speaker: "Cultural Competence as Organizational Innovation: The Case of Nursing Homes," presented at Abt Associates, Inc. Cambridge, MA: November 2006.

Co-Leader (with Irene PrabhuDas), Webinar Seminar: "Assessing Research Collaborations: The Final Part of the NCCCP Evaluations," the National Cancer Institute Leveraging Our Knowledge Webinar Series: January 9, 2014 (originally scheduled for October 2013; rescheduled due to government shutdown).

Invited Panel Member: "Creating Experiences for Changemakers: Taking the Classroom to the Street and Across Sectors: The BEO Senior Capstone at Brown University." Best Practice Session, 2014 Ashoka University Exchange, Providence RI: February 21, 2014.

h. Papers Read at Professional Meetings:

"The Demand for Hospital Cluster Services and Cluster Differentiation." Presented at the 74th Annual Meetings of the American Sociological Association, August, 1979, Boston.

"The Resistance to Change in Hospital Cluster Structure." Presented at the Midwest Sociological Society Meetings, April, 1980, Milwaukee.

"The Survival of Hospital Networks." Presented at the 75th Annual Meetings of the American Sociological Association, August, 1980, New York.

"Network Organization for Delivery of Complex Care: A Problem in Process Evaluation." Presented at the Second Annual Conference on the Clinical Applications of the Social Sciences to Health, Urbana, October, 1980.

"Hospital Cluster Structure and Cluster Efficiency." Presented at the Midwest Sociological Society Meetings, April, 1981, Minneapolis.

"The Adoption of Mental Health Innovations in the Private Sector: Employee Assistance Programming in Illinois Firms." Presented at the Illinois Sociological Association Meetings, October, 1981, Chicago.

"Organizational Environment and Network Structure."Presented at the Midwest Sociological Society Meetings, April, 1982, Des Moines.

"Organizational Environment, Structure, and the Adoption of Administrative Innovation." Presented at the 77th Annual Meeting of the American Sociological Association, September, 1982, San Francisco.

"Occupational Stress and Organizational Support in the Work Setting: Direct and Buffering Effects on Drinking." Presented at the Midwest Sociological Society Meetings, April, 1983, Kansas City.

"Health Organizations and Their Environment: The Theoretical and Policy Implications of Demographic Change." Presented at the 78th Annual Meetings of the American Sociological Association, August, 1983, Detroit.

"The Selection Perspective on 'Fit': Resource Versus Institutionalized Forms of Isomorphism." Presented at the Academy of Management Meetings, August, 1983, Dallas.

"Multihospital Systems as Divisionalized Forms." Presented at the Academy of Management Meetings, August, 1984, Boston.

"A Comparison of the Effects of Competitive and Institutional Isomorphism on Hospital Differentiation." Presented at the 79th Annual Meeting of the American Sociological Association, August, 1984, San Antonio.

"Boundary Spanning Activity in System and Freestanding Hospitals." Presented at the Academy of Management Meetings, August, 1986, Chicago.

"Networks of Professional Organizations and the Diffusion of Innovation." Presented at the 82nd Annual Meetings of the American Sociological Association, August, 1987, Chicago.

"Patterns of Governance and Organizational Change in Hospitals." Workshop on Governance in Health Services Management, for the Association of University Programs in Health Administration, Philadelphia, PA., November 3, 1988.

"Interorganizational Networks of Service Delivery for the Homeless Mentally III," with Russell Schutt. Presented at the Annual Meetings of the American Public Health Association, Boston, November 14, 1988.

"Managed Care Systems as Governance Structures," with Robert E. Hurley. Presented at the Association of University Programs in Health Administration Meetings, Chicago, Illinois, March, 1990.

"Governing Boards and Profound Organizational Change," with Jeffrey A. Alexander. Presented at the Annual Meetings of the American Sociological Association, Washington, D.C., August, 1990.

"Governing Boards and Profound Change in Hospitals," with Jeffrey A. Alexander and Michael T. Halpern, presented at the 1990 Meetings of the American Public Health Association, New York, October.

"Leadership Instability in Hospitals: The Effects of Board Structure and Composition," with Jeffrey A. Alexander. Presented at the 1991 American Sociological Association Meetings, Cincinnati, Ohio, August.

"Institutional Anchors in the Continuum of Care for the Rural Elderly," with Larry D. Gamm and S. Diane Brannon, presented at the Eighth Annual Meeting of the Association for Health Services Research, July 1, 1991, San Diego.

"Hospitals and Nursing Homes as Anchors in the Continuum of Care for the Rural Elderly," with Larry D. Gamm and S. Diane Brannon, presented at the American Public Health Association, November 12, 1991, Atlanta, Georgia.

"Rural Hospitals and Long Term Care Facilities: How Does Each Effect the Other," with Larry D. Gamm and S. Diane Brannon, presented at the Gerontological Society of America, Roundtable on Aging in Rural Environments, November 22, 1991, San Francisco, California.

"The Effects of Hospital Characteristics and Radical Organizational Change on the Relative Standing of Health Care Professions: A Longitudinal Analysis," with Kevin Leicht and Kristine Witkowski. Presented at the American Sociological Association Meetings, August 1993, Miami.

"Linkage Strategies of Rural Hospitals - Independent Hospital, Local Health System, and/or Externally Linked Facility," with L. D. Gamm, C. Kassab, and S. D. Brannon. Presented at the Association for Public Policy Analysis and Management, October 1993, Washington, DC.

"Gender Stratification in Professional Organizations: Changes in Organizational Structure and Career Paths," with Kevin Leicht. Presented at the American Association for the Advancement of Science, February 1994, San Francisco, CA. "Integrating Acute and Long Term Care Services in Response to Health Care Reform," presented at the Association of University Programs in Health Administration, San Diego, CA., June 10, 1994.

"Factors Influencing Organizational Environmentalism: An Institutional Theory Perspective," with Julianne Bergh, presented at the Academy of Management Meetings, August 1995, Vancouver, BC.

"Clinical Practice Guidelines: Adoption vs. Compliance by Physicians and Health Care Organizations," presented at the American Sociological Association Meetings, August 1995, Washington, DC.

"Rural Hospital Linkages to Long-Term Care Providers," presented to the Gerontological Society of America, November 20, 1996, Washington, DC.

"Gender Representation in Corporate Law: Normative and Coercive Effects on the Structure of Corporate Legal Departments," with Kevin T. Leicht, presented at the 91st Annual Meetings of the American Sociological Association, August 17, 1996, New York.

"Guidelines and the Control of Professional Behavior," presented at the 91st Annual Meetings of the American Sociological Association, August 1996, New York.

"New Directions in Health Care Management," presented at the Doctoral/Junior Faculty Consortium of the Health Care Administration Division of the Academy of Mangement, August 9, 1997, Boston.

"Date Recall: Framing the Question," with Kat Lind, presented at the Annual Meetings of the American Association for Public Opinion Research, May 1998, St. Louis, MO.

"Rural Hospital Linkages to Long-Term Care Providers: Initial Results from a National Survey," presented at the Annual Meetings of the Association for Health Services Research, June 1998, Washington, DC.

"The Changing Nature of Professional Work and Professional Organizations," with Kevin Leicht, presented at the Academy of Management Meetings, August 1998, San Diego, CA.

"Long-Term Care Diversification and Vertical Integration Strategic Activity Within Acute Care Hospitals in the US," with Ann Petrisek, presented at the 93rd Annual Meetings of the American Sociological Association, August 1998, San Francisco, CA.

"The Changing Organizational Context of Professional Work: Implications for Gender Representation in Corporate Law," With Kevin Leicht, presented at the 93rd Annual Meetings of the American Sociological Association, August 1998, San Francisco, CA.

"Predicting Rural Hospital Long Term Care Strategies," Stuart, B., Fennell, M., Downing-Park, J. and Sun, R. Presented at the Annual Meetings of the Association for Health Services Research, Chicago, Ill: June 1999. "Rural Hospitals, Swing Beds, and Long Term Care Strategies of Linkage, Diversification, or Avoidance," with B. Stuart. Presented at the first annual meeting of the Conference on Health Care Organizations, Berkeley, CA: June 1999.

"Functional Decline Predicts Site of Death," with J.Teno, S. Weitzen, and V. Mor. Presented at the 128th Meeting of the American Public Health Association, Boston, November 2000.

"Cohort and Changes in Specialty Choices Among Physicians, 1970 - 1990," with Leslie Killgore and Kevin Leicht. Presented at the American Sociological Association, Washington DC, August 2000.

"Effect of Organizational Linkages to Research Networks on Compliance with Treatment Guidelines for Early Stage Breast Cancer" with L. Laliberte, M. Fennell, G.D. Papandonatos, L.M. Killgore, poster at the 54th Annual Scientific Meeting of the Gerontological Society of America, November, 2001, Chicago, IL.

"Racial Disparity and Nursing Home Quality Indicators" with S.C. Miller, M.L. Fennell, V. Mor, presented at the 54th Annual Scientific Meetings of the Gerontological Society of America, November 15-18, 2001, Chicago, IL.

"Organizational Arrangements and Rehospitalization Rates in Rural Areas," with Joseph Angelelli, presented at the Fifth Annual Conference on Health Care Organizations, June 12-13, 2003, Ann Arbor, Michigan.

"Extending a Broken Chain: The Continuum of Care Implications of Rural Hospital Patient Safety Problems," with Joseph Angelelli and Vince Mor; poster presented at the Annual Health Services Research Meetings of AcademyHealth, June 27, 2005, Boston.

"Racial Segregation of Nursing Home Staff and Residents," with Zhanlian Feng and Vince Mor; poster presented at the Annual Research Meetings of AcademyHealth, June 27, 2005, Boston.

Invited Panel Member: "The Environment of Contemporary Universities," presented at the American Sociological Association Meetings in August, 2006, Montreal.

"Institutional Theory and Professional Work: Where Do We Go From Here?" with Kevin T. Leicht, presented at the American Sociological Association Meetings in August, 2007, New York City.

"Globalization, Governance and the Crisis of Professional Accountability," with Kevin T. Leicht, presented at the 2007 Clifford Chance Conference on Professional Service Firms, July 2007, Chicago, Illinois (presented by Kevin T. Leicht).

"Disparities in Nursing Home Care Quality by Proportion of Hispanic Residents," with Melissa Clark and Zhanlian Feng, poster presented at the Annual Research Meeting of AcademyHealth in June, 2008, Washington, DC.

"Improving Care in Outpatient Settings: Organization and Delivery Issues," Session Chair and Discussant, for the Annual Research Meeting of Academy Health in June, 2008, Washington, DC.

"Ivory Tower/Leaning Tower?" with Kevin T. Leicht and Seol Han, ASA Presidential Panel on "The Post-Professional Era," presented at the American Sociological Association Meetings in August 2008, Boston, Mass.

"The Use of Performance Based Dashboards to Address Cancer Healthcare Disparities in the NCI Community Cancer Centers Pilot Program (NCCCP)", with Donna O'Brien, Maureen Johnson, Ken Chu, Deb Hood, and Nora Katurakes. Poster presented at the 2009 Meetings of Academy Health, Chicago, Ill.

"Shaping Long-Term Care in America: The Influence of State Policies and Markets," with Terri Wettle, Vince Mor, Melissa Clark, Orna Intrator and Joan Teno. Paper presented at the 2009 Meeting of the Gerontological Society of America.

"LTCFocUS: Nursing Home Providers," with Zhanlian Feng, Orna Intrator, and Vince Mor. Paper presented at the 2009 Meeting of the Gerontological Society of America.

"Geographic Concentration and Correlates of Nursing Home Closures: 1999-2008," with Zhanlian Feng, Melissa Clark, Denise Tyler, David Barton Smith and Vince Mor. Paper presented at the 2010 Meetings of AcademyHealth, Boston, MA.

_____. Also presented at the 2010 International Conference on Aging in the Americas, Austin, Texas.

"Shifts in Racial Composition of Nursing Home Residents: 2000-2007," with Zhanlian Feng, Vince Mor, Denise Tyler and Melissa Clark. Paper presented at the 2010 International Conference on Aging in the Americas. Austin, Texas.

"Trends in Racial Composition of Nursing Home Residents: 2000-2007," with Zhanlian Feng, Vince Mor, Denise Tyler, Melissa Clark. Paper presented at the 2010 Meetings of Academy Health, Boston, MA.

"Transitions in Long Term Care Markets," with Denise Tyler, Pedro Gozalo. Poster presented at the 2011 Meetings of AcademyHealth, Seattle, WA.

"Healthcare Reform and Multilevel Interventions and Research: Big Changes go Handin-Hand with Big Science," with Kelly Devers and Ann B. Flood. Presented at the 2011 NCI conference on "Understanding and Influencing Multilevel Factors Across the Cancer Care Continuum," March 2011, Las Vegas, Nevada.

"Multilevel Research and the Challenges of Implementing Genomic Medicine," with Muin Khoury, Ralph Coates, Russell Glasgow, Maren Scheuner, Sheri Schully, Marc Williams and Steven Clauser. Presented at the 2011 NCI conference on "Understanding and Influencing Multilevel Factors Across the Cancer Care Continuum," March 2011, Las Vegas, Nevada. "Change in Hospice Use: 2001-2007," with Joan Teno, Vince More, Susan Miller. Presented at the 2011 Meetings of AcademyHealth, Seattle, WA.

"Transformation of US Long Term Care Markets: Summary of the Research Program," with M. Clark, Z.Feng, V.Mor, DB Smith, D.Tyler. Presented at the 2012 Alpine Population Conference, La Thuile, Italy, January 16-18, 2012.

"Multidisciplinary Teams: The Concept and the Reality in Cancer Care." Presented at the Special Meeting on The Role of Health Care Teams in Cancer Care, Rockville, MD. May 17-18, 2012.

"The National Cancer Institute (NCI) Community Cancer Centers Program (NCCCP): A Model for Reducing Cancer Healthcare Disparities," with D O'Brien, MA Bright, SB Clauser...et al. Presented at the Annual Meetings of the American Society of Clinical Oncologists, June 4, 2012.

"The Effect of Facility Racial Co mposition on Social Engagement (SE) Among Nursing Home (NH) Residents." With Denise Tyler, Zhanlian Feng, and Melissa Clark. Presented at the 2012 Annual Research Meetings of AcademyHealth , Orlando, Florida, June 2012.

"Are HCBS Located in Areas Where Nursing Homes Have Closed?" with Denise Tyler, Zhanlian Feng, Pedro Gozalo. Presented at the 2012 Annual Research Meetings of Academy Health, Orlando Florida, June 2012

"Rural LTC in the US: Policies to Re-Balance Without the Balance." With Denise Tyler and Zhanlian Feng Presented at the 2nd International Conference on Evidence Based Policy in Long-Term Care, London School of Economics, London, UK. September 5-8, 2012

"Variance Estimation for the Multidisciplinary Treatment Planning (MTP) Survey," with Hyunshik Lee, Benmei Liu, and Irene PrabhuDas. Presented at the Joint Statistical Meetings, August 2012.

"Understanding Multidisciplinary Treatment Planning in CoC-Accredited Cancer Programs," with Irene PrahbuDas. Accepted for Presentation at the Association of Community Cancer Center's National Oncology Conference, Boston, MA, October 4, 2013 (Presentation cancelled due to federal government shutdown).

"Expectations of Attendance at Initial Case Presentations for Multidisciplinary Treatment Planning (MTP)," with Irene PrabhuDas, Katherine Mallin, Greer Gay, Heather Rozjabek, Andrew Stewart, Kathleen Castro, and Steven Clauser. Presented at the 2013 American Society of Clinical Oncology Quality Care Symposium, San Diego, CA, November 1-2, 2013.

"Patient Involvement in Multidisciplinary Treatment Planning (MTP)," with Irene PrabhuDas, Heather Rozjabek, Katherine Mallin, Greer Gay, Kathleen Castro, Andrew Stewart and Steven Clauser. Presented at the 2013 American Society of Clinical Oncology Quality Care Symposium, San Diego, CA, November 1-2, 2013.

i. Work in Review

"Can Multidisciplinary Treatment Planning Improve Patient Engagement in Cancer Care?" with Irene PrabhuDas; submitted for review for presentation at the AcademyHealth Meetings; June 2014 San Diego, Ca.

"Social Engagement in Nursing Homes: The Effect of Individual Race and Facility Racial Composition," with Denise Tyler, Shubing Cai, Zhanlian Feng, Melissa Clark. Revise and Resubmit, Journal of Gerontology: Social Sciences.

"Hospital Strategies to Increase Physician Alignment and Engage Cancer Specialty Physicians to Improve Cancer Care in the Community," with Donna O'Brien, Kelly Devers, Amy Abernethy and Arnold D. Kaluzny; submitted to <u>Health Services Research</u>.

j. Work in Progress

"Are Hispanic Elderly Served by Home and Community Based Services?" with Denise Tyler. To be submitted to <u>Gerontology</u>.

"The Geography of Nursing Home Market Dynamics," with Michael Lepore, Zhanlian Feng, Seth Spilerman, Denise Tyler, Melissa Clark, Vince Mor, David B. Smith, and Crytal Linkletter. To be submitted to the <u>International Journal of Health Geographics</u>.

"The Turbulent Environment of Cancer Care: Organizations, Alignments, Markets and Policies in Flux." With Donna O'Brien and Arnold Kaluzny. In preparation for Bringing Better Healthcare to the Community: Lessons from a Public-Private Partnership for Cancer Care. Oxford University Press (under contract).

k. Agency Final Reports, etc.

"Assessing Research Collaborations: The Final Report On NCCCP Sites Engagement In Collaborative Relationships," 2014. Final Report to the National Cancer Institute. http://ncccp.cancer.gov/about/reports-and-tools.htm.

"Multilevel Intervention Research: Building From the Conference and Special Issue." White Paper produced for the National Cancer Institute under contract #HHSN261201100512P. March 2014.

5. Research Grants

a. Current Research Grants: none

b. Completed Grants:

Principal Investigator, "Multi-hospital Clusters: Structures and Outcomes," grant from the Graduate Research Board, University of Illinois at Chicago, July 1978 - June 1979; \$3,030.

Co-Investigator (with Richard B. Warnecke and Jerilyn Logemann) "Approaches to Cancer Management: A Synopsis of the Network Program Experiences," contract funded by the National Cancer Institute, October 1979 - March 1981; \$84,000.

Principal Investigator, "Structural Incompatibility and Alcoholism in the Workplace," funded by the State of Illinois Department of Mental Health and Developmental Disabilities, July 1979 - June 1982; \$67,710.

Principal Investigator (with Jeffrey A. Alexander) "Governing Boards and Profound Organizational Change," funded by the National Science Foundation, June 1989 - May 1991; \$125,998.

Principal Investigator, "Institutional Anchors in the Continuum of Care for the Rural Elderly." Pilot project of the Center on Aging and Health in Rural America at Penn State University, funded by the National Institute on Aging, November 1990 - September 1992; \$49,973.

Co-Principal Investigator, "Rural and Urban Differences in Physical and Mental Co-Morbidity Among Older Adults." Pilot Project of the Center on Aging and Health in Rural America at Penn State University, funded by the National Institute on Aging, September 1991 - February 1993; \$44,596 (with Jacqueline Angel).

Co-Principal Investigator: "The Potential for Increased Service Coordination among Rural Hospitals," funded by the Center for Rural Pennsylvania, July 1991 to July 1992; \$47,911 direct costs (with Larry Gamm and S. Diane Brannon).

Principal Investigator: "Instrument Development for Planned R01 Application to <u>AHCPR</u>," funded by the Research and Graduate Studies Office of the College of Liberal Arts, Penn State University, May 1993; \$4,300.

Special Award to publish "Forty Years of Medical Sociology: A Special Issue of the <u>Journal of Health and Social Behavior</u>," granted by the Robert Wood Johnson Foundation, September 1993 - August 1994; \$12,200.

Evaluator, "Northern Appalachia Leadership Initiative on Cancer," Cooperative Agreement from the National Cancer Institute to Dr. Audrey Maretski (PI), Food Sciences and CES, The Pennsylvania State University, 1992-1997; \$980,770 direct costs; Evaluation Component \$109,032 direct costs.

Co-Principal Investigator (with Kevin T. Leicht): "Gender Stratification in Corporate Legal Departments: An Analysis of Organizational Structure and Individual Careers," funded by the National Science Foundation, August 1993 - July 1995; \$114,000.

Principal Investigator: "Rural Hospital Linkages to Long Term Care Providers," funded by the National Institute on Aging, August 1996-July 2000; \$904,468. Administrative supplement approved for August 1999-December 2000: \$50,000.

Principal Investigator: "Multilevel Model of Compliance to Breast Cancer Guidelines," funded by the National Cancer Institute, January 1998-December 1999: \$390,164.

Co-Investigator: "National, State and Local Indicators of End of Life Care," (Joan Teno, PI), funded by the Robert Wood Johnson Foundation, 1999-20004: \$2,390,000.

Principal Investigator: "Facility Effects on Racial Differences in NH Quality," funded by the Agency for Health Care Policy Research (renamed Agency for Health Quality Research), September 1999–September 2001: \$541,593.

Investigator: "Does the Fleetwood Model of Long-Term Care Pharmacy Improve Pharmacotherapy?" funded by the Commonwealth Fund (PI = Kate Lapane), January 1, 2002 – December 31, 2005.

Co-Investigator: "Examining Racial Concentration and Disparities in Nursing Home Quality of Care," funded by the Commonwealth Fund (PI = Vince Mor), July 1, 2005 – December 31, 2006. \$121,917.

Principal Investigator: "Post-BBA Changes in Rural Hospital Long-Term Care Strategies," funded by the National Institute on Aging, June 2002 – June 2005. No-Cost Extension Year May 31, 2005 – May 31, 2006. \$1,328,449. Administrative Supplement approved September 2006, \$30,510; 2nd No-Cost Extension Year Approved, May 31, 2006 – May 31, 2007.

 Co-Principal Investigator: "ADVANCE: Investing in Leadership Potential and Faculty Opportunities At Brown University." With Pamela O'Neil (PI), Johanna Schmitt, Tayhas Palmore, and Brenda Allen. National Science Foundation. November 2006 – October 2009: \$3,300,000.

Principal Investigator: "Separate and Unequal: State Policies and Market Factors Affecting Nursing Home Access and Quality," RO1 grant funded by the National Institute on Aging, October 2007 – September 2012; total costs \$399,876.

"Organizational Change and the New Paradigm in Cancer Treatment." IPA Contract with the National Cancer Institute:

July 1, 2008 through June 30, 2009; \$125,541. July 1, 2009 through June 30, 2010: \$114,624.

July 1, 2010 through June 30, 2011: \$104,675.

c. Grant Proposals Pending:

Co-investigator: "Nursing Home Culture Change: Evaluating Change in Practice and Quality Outcomes," (PI = Susan Miller), revised proposal submitted to the National Institute on Aging, August 2014.

6. Service

(i) University:

Member, Working Committee to develop plans for the Center for Entrepreneurial Innovation, 2014-

Chair, Committee to Restructure the Business, Entrepreneurship and Organizations Capstone Experience. Fall Semester, 2012.

Member and Co-Chair, Dean of the College Five-Year Review Committee of the Commerce, Organizations and Entrepreneurship Program. September 2011-February 2012.

Faculty Convener: Brown International Advanced Research Institutes (BIARI), workshop on Technology, Entrepreneurship and Management, June 2010.

Member, Provost's Working Group on the Watson Institute and Tenure Appointments. 2007–2009.

Member, Search Committee for Vice President for Computing Services and Information Technology/Chief Information Officer; 2006 – 2007.

Member, Executive Committee, Project ADVANCE, 2006 – 2009.

Chair, Department of Sociology. 2005 – 2008.

Member, Executive Committee, Initiative and Undergraduate Concentration in Commerce, Organizations and Entrepreneurship, 2004-present.

Special Assignment: Assistance to Dean Kathryn Spoehr: Assisted in development of "cluster review" objectives, process, and logistics; assisted in reviews of Modern Languages and Literature, Brain/Behavioral/Computer Science Cluster, and the Theater/Afro-American Studies Cluster, 1998-99.

Co-Chair, Junior Faculty Search committee, joint positions in Sociology and Public Policy (PPSO), 1998-99.

Co-Designer and Co-Director (with Prof.T.Anton) of the Undergraduate Concentration in Public and Private Sector Organizations, 1997-2000.

Member, Internal Faculty Steering Committee, Center for Gerontology and Health Care Research, 1998-present.

Graduate Advisor, Department of Sociology, 1997-1999.

Member, Academic Priorities Committee, 1997-1999.

Executive Committee Member, A. Alfred Taubman Center for Public Policy and American Institutions, 1997-2000.

Executive Committee Member, Brown Center for Primary Care and Prevention. 1997-2000.

Graduate Program Faculty, Epidemiology and Biostatistics, Department of Community Health, 1997-present.

Member, Organizational Behavior and Management Concentration Advisory Committee, 1996-1999.

Member, Graduate Studies Committee, Department of Sociology 1995-present.

Faculty Executive Committee 1996-1998.

Task Force to Rewrite the Brown University Mission Statement 1996-1997.

Chair, Provost's Adhoc Committee on Faculty Retirement 1996-1997. Charged with review of faculty attitudes toward the Special Retirement Arrangement, post-retirement teaching, and assessment of the effectiveness of SRA incentives. Committee report became the basis for the recommendations of the 1999 Task Force on Faculty Retirement.

(ii) **Professional:**

Editorial:

Associate Editor, Journal of Health and Social Behavior, 1986-1989, 1995-1997 1997-1999, 2005-2010.

Consulting Editor, American Journal of Sociology, 1988-1990.

Editor, Journal of Health and Social Behavior, 1990-1993. (Editor-Elect, 1988-89).

Co-Editor of Special Issue of <u>Journal of Health and Social Behavior</u>: "Forty Years of Medical Sociology" (with Bernice A. Pescosolido), August 1995.

Senior Associate Editor, Health Services Research, 2005 -

Offices and Committees:

Elected Council Member, Section on Organizations and Occupations of the American Sociological Association, 1985-1988.

Member, European Group for Organizational Studies 1986 Award Committee, for the Section on Organizations and Occupations of the American Sociological Association.

Member, Committee for Certification in Organizational Analysis, for the Organizations and Occupations Section of the American Sociological Association, 1986-1989.

Chair, European Group for Organizational Studies 1987 Award Committee, for the Section on Organizations and Occupations of the American Sociological Association.

Study Section Member 1991-1994, Health Services Research Dissemination, for the Agency for Health Care Policy Research.

Elected Executive Committee Member, Health Care Administration Division, Academy of Management, 1992-1994.

Elected Chair, Publications Committee, Medical Sociology Section of the American Sociological Association, 1993-1994.

Consultant to the Robert Wood Johnson Foundation Evaluation of the "Smokeless States Initiative," 1993-1995.

National Advisory Committee Member, Tobacco Policy Research and Evaluation Program of the Robert Wood Johnson Foundation, 1993-1995.

Site-visit Review Team for AHCPR, Medical Treatment Effectiveness (MEDTEP) Research Centers on Minority Populations, 1995.

Steering Committee Member, National Research Council, on "Privacy and Security in Health Care Applications of the National Information Infrastructure" (under the Computer Science and Telecommunications Board), 1995-1997.

Program Committee Member, American Sociological Association, 1996 Meetings.

Elected Chair, Nominations Committee, Medical Sociology Section of the ASA, 1995-1997.

Member, Max Weber Award Committee, Organizations, Occupations and Work Section of the American Sociological Association, 1997.

Member, National Advisory Committee for Scholars in Health Policy Research, Robert Wood Johnson Foundation, 1998-2000.

Chair, Medical Sociology Section of the American Sociological Association, 1999-2000. Chair-Elect, 1998-1999.

Current Reviewing (2005): Health Services Research; Health Care Administration Division of the Academy of Management; Journal of Rural Health, Journal of Health and Social Behavior, Social Forces. Evaluation Team Member, Middle States Commission on Higher Education, Review of Graduate School of Columbia University, March 2006.

Working Group Member: (2006-) "Medical Informatics:" National Research Council and the Institute of Medicine.

Chair, Evaluation Oversight Committee, for the National Cancer Institute's Community Cancer Centers Program Pilot Study, 2007 – 2012.

Chair, Source Evaluation Group, for the National Cancer Institute's Community Cancer Centers Program Pilot Study, 2007 - present.

Member, Study Section for NIH: Health Services and Organization Design; San Francisco Meeting: October 15-16, 2008.

Member, Planning Committee, with NCI Patient Outcomes Research Branch: Working Group Meeting on "Multilevel Interventions in Cancer Screening and Treatment," June 19, 2008 – present. Bethesda, MD.

Steering Group Member: 2011 Conference on "Understanding and Influencing Multilevel Factors Across the Cancer Care Continuum," February 2010 – 2011. Bethesda, MD.

Evaluation Team Member, Middle States Commission on Higher Education accreditation review of Bryn Mawr College, April 11-14, 2010.

Member, Technical Evaluation Panel (TEP) Meeting, National Cancer Institute: Evaluation of Expanded NCI Community Cancer Centers Program (NCCCP), October 2010: Bethesda, Maryland.

7. Academic Honors and Awards:

- 1973 Alpha Sigma Nu, National Jesuit Honor Society
- 1974-78 Stanford University Fellowship
- 1975-77 N.I.M.H. Fellow, Organizations Research Training Program, Stanford University
- 1977-78 N.I.M.H. Fellow, Evaluation Processes Training Program, Stanford University
- 1979 Faculty Summer Fellow, The Graduate College, University of Illinois at Chicago
- 1982-83 N.I.M.H. Post-doctoral Fellowship, Research Training Program on Organizations and Mental Health, Stanford University
- 1989 Invited Visiting Faculty Fellow, Department of Sociology, Research School of Social Sciences, Australian National University.(declined)
- 1991 Health Services Management Research Award (with Michael Halpern and Jeffrey Alexander) from the American Hospital Association Health Services Research Program, for "Multihospital System Affiliation as a Survival Strategy for Rural Hospitals Under PPS," July 1991
- 1992 Elected Life Member, Sociological Research Association.

- 1997 Eliot Freidson Award for Best Article in Medical Sociology, from the Medical Sociology Section of the American Sociological Association, for "Through the Lenses of Organizational Sociology...", August 1997.
- 2009 National Institutes of Health 2009 Director's Award (Scientific/Medical Category) "In recognition for exemplary leadership in developing and implementing the NCI's Community Cancer Centers Program to enhance community-based cancer care and research."
- 2012 National Institutes of Health Award of Merit: "For vision and innovative leadership that has invigorated the field of multi-level interventions research in cancer care."

8. Courses Taught at Penn State and the University of Illinois:

Health Policy and Aging Organizations and Health Policy Current Issues in Organizational Theory Complex Organizations Health Care Delivery Systems Hospital Bureaucracy Organizations and Their Environments Sociological Statistics Advanced Analytical Models in Sociology Graduate Level Research Methods Longitudinal Analysis

Courses Taught at Brown University:

Spring 1996:

"Complex Organizations and Health Policy: A Multilevel Analysis of Clinical Practice Guidelines" (Soc. 206). Enrollment: 6

Fall 1996:

"Seminar in Health Professions and Organizations" (Soc. 187-018) Enrollment: 25 Undergraduate Advisees and Independent Studies: 5

Spring 1997:

"Methods of Research in Complex Organizations" (Soc. 102) Enrollment: 29 Undergraduate Advisees and Independent Studies: 6

Fall 1997:

"Seminar in Health Professions and Organizations" (Soc. 187-018) Enrollment: 22. Undergraduate Advisees and Independent Studies: 5

Spring 1998: Independent Studies: 3 Senior Theses: 2

Fall, 1998: Methods of Research in Organizations (Soc.102) Enrollment: 33 Senior Theses: 3 Independent Studies: 3 Ph.D. Advisees: 2

Spring, 1999: Senior Theses: 2 Independent Studies: 3 Ph.D. Advisees: 3

Fall, 1999: Sabbatical Leave Ph.D. Advisees: 4

Spring 2000: Assumed duties of Associate Dean of the Faculty

Summer 2000 – July 2004: Assumed duties of Dean of the Faculty

Spring, 2001:

Methods of Research in Organizations (Soc. 105), with Teaching Fellow Ray Hyatt Enrollment: 45 Ph.D. Advisees: 3

July 2004 – July 2005: Administrative Leave.

July 2005 – July 2008: Assumed duties of Chair, Department of Sociology

Fall, 2005: Organizations and Disasters (Soc. 297) Enrollment: 9

Spring 2006: Methods of Research in Organizations (Soc.105) Preregistered: 43 Ph.D. Advisees: 2 MA Advisees: 1 Post-doctoral fellow advisees: 2

Fall 2006: Theory and Methods of Research I (Graduate) Enrollment: 10 Senior Theses: 4 Undergraduate Advisees: 35 (COE Org'l Studies) PhD Advisees: 3 MA Advisees: 1 Post-doctoral fellow advisees: 2

Spring 2007: Theory and Methods of Research II (Graduate) Enrollment: 10 Senior Theses: 4 Undergraduate Advisees: 37 (COE Org'l Studies) PhD Advisees: 3 MA Advisees: 1

Fall 2007:

Co-taught: ENG 1930G, the Entrepreneurship Capstone, "beta test" of a module on Social Entrepreneurship. Enrollment: 27. Complex Organizations and Health Policy (SO 2060): The New Technological Imperative (new graduate level seminar); Enrollment: 2. Senior Theses: 2 First Year Undergraduate Advisees: 5 COE Advisees: 47 (Org'l Studies Track) PhD Advisees: 5

Spring 2008: Co-taught: ENG 1930G, the Entrepreneurship Capstone, "beta test" of a module on Social Entrepreneurship. Enrollment: 27. First Year Undergraduate Advisees: 5 COE Advisees: 47 (Org'l Studies Track) PhD Advisees: 5

Fall 2008 (On sabbatical leave):
Honors Thesis Advisees: 2
PhD Advisees (Brown): 5
Outside PhD Committee Member: 2 (Dartmouth Center for Clinical and Evaluative Sciences; Brandeis University)

Spring 2009 (On sabbatical leave) Honors Thesis Advisees: 2 PhD Advisees (Brown): 5 Outside PhD Committee Member: 1 (Brandeis University)

Fall 2009

SOC 1930: Senior Capstone Social Entrepreneurship. Enrollment: 3 SOC 2060: Complex Organizations and Health Policy: Health Care Reform and Personalized Medicine. Enrolled: 5 First Year Advisees: 5 Senior Theses: 5 MA Thesis Advisor: 1 PhD Advisees: 4

Spring 2010: SOC 1940: Senior Capstone Social Entrepreneurship. Enrollment 4. First Year Advisees: 5 Senior Theses: 5 MA Thesis Advisor: 1 PhD Advisees: 4

Fall 2010:
SOC 1050: Methods of Research in Organizations. Enrollment: 52
SOC 2960: Graduate Seminar on Organizational Theory. Enrollment: 4
Sophomore Advisees: 5
MA Thesis Advisor: 1
PhD Advisees: 3

Spring 2011:
SOC 0030H: 1st Year Seminar on Organizations and Disasters: Living with the Reality of Really Big Mistakes. Enrollment: 20.
Sophomore Advisees: 5
PhD Advisees: 3

Fall 2011:
SOC 1930: Social Entrepreneurship Capstone. Enrollment: 7
SOC 2460: Graduate Seminar on Writing Papers for Peer Review. Enrollment: 7
Undergraduate Advisees: 3
PhD Advisees: 3

Spring 2012:

SOC 1090: Theories of Organizational Dynamics and Decision-Making. Enrollment: 96 SOC 2060: Complex Organizations and Health Policy: Organizing for Cancer Care. Enrollment: 5 Advisor for Organizational Studies Track of COE; Advisees: 45. PhD Advisees: 3

Fall Semester 2012 (on sabbatical leave)

Spring 2013:
SOC 1315: Macro-Organizational Theory: Organizations in Social Context. Enrollment: 154.
SOC 2460: Graduate Seminar on Writing Papers for Peer Review. Enrollment: 7
Undergraduate Advisees: 3
PhD Advisees: 3

Fall 2013:BEO 1930A, B, C: The BEO Senior Capstone (Lead Faculty Organizer). Enrollment: 73Undergraduate Advisees: 3PhD Advisees: 3

Spring 2014: BEO 1940A: The BEO Senior Capstone (Lead Faculty Organizer) Enrollment: 73 Undergraduate Advisees: 3 PhD Advisees: 3

9. Date of Preparation: August 4, 2014